

02-23-1999

Form PTO-1595 (Modified)



100971157

PATENTS ONLY

EET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Officenld  
2-3-99

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Paul E. Segall  
Harold D. Waitz  
Hal SternbergAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: 02/03/99; 02/03/99; 02/03/99

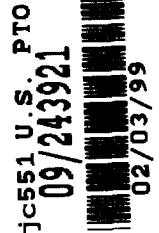
## 2. Name and address of receiving party(ies):

Name: BioTime Corporation

Internal Address:

Street Address: 935 Pardee Street

City: Berkeley State: CA ZIP: 94710

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application numbers(s) or patent number(s): 09 243921

If this document is being filed together with a new application, the execution date of the application is: 02/03/99

## A. Patent Application No.(s)

02/11/1999 HAYES 00000022 09243921

## B. Patent No.(s)

03 FEB 5 1999 Name and address of party to whom correspondence concerning document should be mailed:

Name: Bret E. Field  
Street Address: BOZICEVIC, FIELD & FRANCIS LLP  
285 Hamilton Avenue, Suite 200  
Palo Alto, CA 94301Telephone: (650) 327-3400  
Fax: (650) 327-3231

## 6. Total number of applications and patents involved: 1

40E

## 7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit Account No. 50-0815

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 50-0815 with regards to this correspondence.

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Bret E. Field, Reg. No. 37,620  
Name of Person Signing

Signature

Date

2-3-99

Total number of pages including cover sheet 3

Atty Dkt No. 6337-022P

**ASSIGNMENT****JOINT**

THIS ASSIGNMENT, by Paul E. Segall, Harold D. Waitz, and Hal Sternberg (hereinafter referred to as the assignors), residing at 1003 Middlefield Road, Berkeley, California 94708, 21 Hillsdale Court, Berkeley, California 94704, and 1735 Spruce Street, #4, Berkeley, California 94709 respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in Methods and Compositions for Use in Perfusion Applications set forth in an application for Letters Patent of the United States,   X   having an oath or declaration executed on even date herewith;        bearing Serial No. \* and filed on \*; and

WHEREAS, BioTime Corporation, a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 935 Pardee Street, Berkeley CA 94710 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division,

continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date 2/3/99 Name of Inventor Paul E. Segall  
Paul E. Segall

Date 2/3/99 Name of Inventor Harold D. Waitz  
Harold D. Waitz

Date 2/3/99 Name of Inventor Hal Sternberg  
Hal Sternberg