

02-23-1999

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

RE



ET

100971563

Attorney's Docket No. 032303-007

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Tatsuya ISHINO; Ryuichi SAGUCHI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: January 25, 1999

2. Name and address of receiving party(ies):

Name: SHIN-ETSU CHEMICAL CO., LTD.Address: 6-1, Otemachi 2-ChomeChiyoda-Ku, TokyoJAPANAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: January 25, 1999

A. Patent Application No.(s)

B. Patent No.(s)

09/240580

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Teresa Stanek ReaAddress: BURNS, DOANE, SWICKER & MATHIS, L.L.P.P.O. Box 1404Alexandria, Virginia 22313-14046. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

02-4800

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Teresa Stanek Rea
 Name of Person Signing

 Signature

February 1, 1999
 Date
Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

 Commissioner of Patents and Trademarks
 Box Assignments
 Washington, D.C. 20231

02/10/1999 DOUTLER 00000053 09240580

02 FC:581

40.00 00

PATENT

(10/97)

REEL: 9770 FRAME: 0573

Attorney's Docket No.

ASSIGNMENT (JOINT)

THIS ASSIGNMENT, by Tatsuya ISHINO and Ryuichi SAGUCHI
, residing at c/o Specialty Chemicals Research Center, SHIN-ETSU CHEMICAL
CO., LTD., 28-1, Oaza Nishi-Fukushima, Kubiki-mura, Naka Kubiki-gun, Niigata-ken,
Japan and c/o Specialty Chemicals Research Center, SHIN-ETSU CHEMICAL CO., LTD.,
28-1, Oaza Nishi-Fukushima, Kubiki-mura, Naka Kubiki-gun, Niigata-ken, Japan
(hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in _____

SUSTAINED RELEASE PHEROMONE FORMATION

set forth in an application for Letters Patent of the United States, [] which is a provisional application to be filed herewith; [X] which is a non-provisional application having an oath or declaration executed on even date herewith prior to filing of application; [] bearing Application No. _____, and filed on _____; and

WHEREAS, SHIN-ETSU CHEMICAL CO., LTD., a corporation duly organized under and pursuant to the laws of JAPAN and having its principal place of business at 8-1, OTEMACHI 2-CHOME, CHIYODA-KU, TOKYO, JAPAN (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

Attorney's Docket No. _____

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of Burns, Doane, Swecker & Mathis, LLP of Alexandria, Virginia, to insert in the spaces provided above the filing date, application number, and attorney docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date January 25, 1999 Name of Assignor Tatsuya Ishino
Tatsuya ISHINO

Date January 25, 1999 Name of Assignor Ryuichi Saguchi
Ryuichi SAGUCHI