

02-23-1999



100971250

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:) Group Art Unit: 1755

Hampden-Smith et al.) Examiner:

Serial No.: 09/140,525)

Filed: August 27, 1998)

Atty. File No.: SMP-006-6-4)
(Formerly NAN-006-6-4))

For: "ELECTROLUMINESCENT)
PHOSPHOR POWDERS, METHODS)
FOR MAKING PHOSPHOR)
POWDERS AND DEVICES)
INCORPORATING SAME")

RECORDATION OF ASSIGNMENT

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS
FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO THE
ASSISTANT COMMISSIONER OF PATENTS, WASHINGTON, DC
20231 ON THIS 18th DAY OF February, 1999.

McINTOSH & DOCKERY LLC

BY: Janelle Fast

Janelle Fast

BOX ASSIGNMENTS
Assistant Commissioner
for Patents
Washington, D.C. 20231

MED
2-22-99

Dear Sir:

Please record the attached original documents or copy thereof:

1. Name of conveying party(ies):

Mark J. Hampden-Smith
Toivo T. Kudas
James Caruso
Daniel J. Skamser
Quint H. Powell
Klaus Kunze

2. Name and address of receiving party(ies):

Nanochem Research LLC
3740 Hawkins NE
Albuquerque, NM 87109

VDE

3. Nature of Conveyance:

<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name
<input type="checkbox"/> Other: _____	

Execution Date: October 21, 1998

4. Application number(s) or patent number(s)

02/23/1999 DNGUYEN 00000284 09140525

01 FC:581

40.00 OP

PATENT
REEL: 9770 FRAME: 0750

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 09/140,525

B. Patent No.(s): None.

5. Name and address of party to whom correspondence concerning documents should be mailed:

David F. Dockery
McIntosh & Dockery LLC
8000 E. Prentice Ave., B-6
Englewood, Colorado 80111

6. Total number of applications and patents involved:
1.

7. Total fee (37 CFR 3.41).....\$40.00.

☒ Enclosed

☐ Authorized to be charged to deposit account.

8. Deposit account number: 13-2496.


9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages including cover sheet, attachments and document: 5

Respectfully submitted,

McINTOSH & DOCKERY LLC

By: 

David F. Dockery
Registration No. 34,323
8000 E. Prentice Ave.,
Suite B-6
Englewood, CO 80111
(303) 689-9120

Date: February 18, 1999


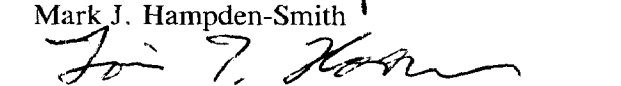
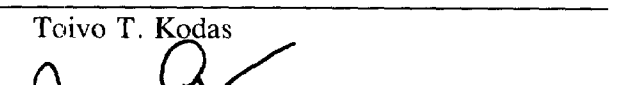

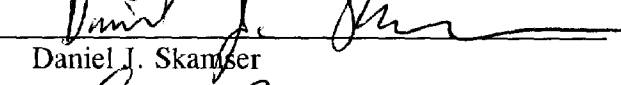
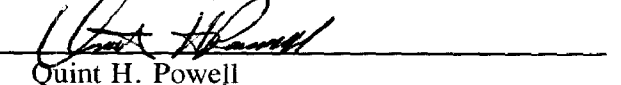
ASSIGNMENT

WHEREAS, we, Mark J. Hampden-Smith of 2901 Maximillian NW, Albuquerque, NM 87122, Toivo T. Kodas of 11102 San Rafael Dr. NE, Albuquerque, NM 87122, James Caruso of 7721 Cedar Canyon Ct. NE, Albuquerque, NM 87122, Daniel J. Skamser of 10327 Hackamore Place SW, Albuquerque, NM 87121, Quint H. Powell of 14336 Grand Avenue NE, Albuquerque, NM 87123 and Klaus Kunze of 800 Oro Real Drive NE, Albuquerque, NM 87123 have invented a certain new and useful invention entitled "ELECTROLUMINESCENT PHOSPHOR POWDERS, METHODS FOR MAKING PHOSPHOR POWDERS AND DEVICES INCORPORATING SAME" for which an application for Letters Patent of the United States has been prepared and filed on August 27, 1998, receiving Serial No. 09/140,525, and further identified as Attorney File No. NAN-006-6-4.

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, Nanochem Research LLC, a New Mexico limited liability company having a place of business at 3740 Hawkins NE, Albuquerque, NM 87109, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than Nanochem Research LLC, and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to Nanochem Research LLC, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

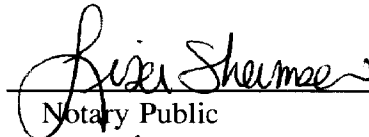
IN WITNESS WHEREOF, each party hereto has executed this Assignment as of the date indicated hereunder.

Date: <u>10-21-98</u>	By: <u></u> Mark J. Hampden-Smith
Date: <u>10-21-98</u>	By: <u></u> Toivo T. Kodas
Date: <u>10/21/98</u>	By: <u></u> James Caruso
Date: <u>10/21/98</u>	By: <u></u> Daniel J. Skamser
Date: <u>10/21/98</u>	By: <u></u> Quint H. Powell
Date: <u>10/21/98</u>	By: <u></u> Klaus Kunze

STATE OF NM)
COUNTY OF Bernalillo) ss.

Before me, a Notary Public in and for said County and State, personally appeared Mark J. Hampden-Smith, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 21 day of Oct, 1998.

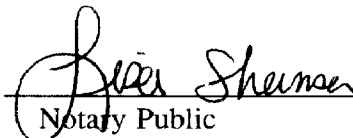

Notary Public

My Commission Expires: 9/11/2001

STATE OF NM)
COUNTY OF Bernalillo) ss.

Before me, a Notary Public in and for said County and State, personally appeared Toivo T. Kodas, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 21 day of Oct, 1998.

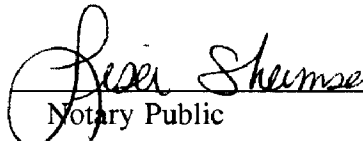

Notary Public

My Commission Expires: 9/11/2001

STATE OF NM)
COUNTY OF Bernalillo) ss.

Before me, a Notary Public in and for said County and State, personally appeared James Caruso, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 21 day of Oct, 1998.

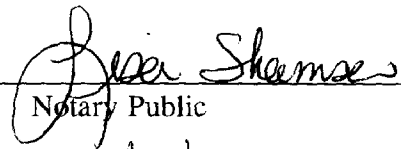

Notary Public

My Commission Expires: 9/11/2001

STATE OF NM)
) ss.
COUNTY OF Bernalillo)

Before me, a Notary Public in and for said County and State, personally appeared Daniel J. Skamser, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

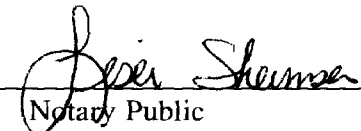
Given under my hand and seal of office this 21 day of Oct, 1998.


Notary Public
My Commission Expires: 9/11/2001

STATE OF NM)
) ss.
COUNTY OF Bernalillo)

Before me, a Notary Public in and for said County and State, personally appeared Quint H. Powell, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

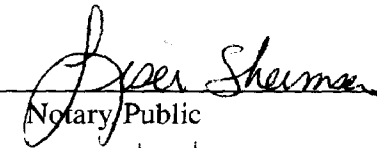
Given under my hand and seal of office this 21 day of Oct, 1998.


Notary Public
My Commission Expires: 9/11/2001

STATE OF NM)
) ss.
COUNTY OF Bernalillo)

Before me, a Notary Public in and for said County and State, personally appeared Klaus Kunze, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 21 day of Oct, 1998.


Notary Public
My Commission Expires: 9/11/2001