

RECORDATION FO
PATENT

02-24-1999



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TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES):

Long Sheng Yu

2. NAME AND ADDRESS OF RECEIVING PARTY(IES):

Kriton Medical, Inc.
8350 Auburn Boulevard
Suite 135
Citrus Heights, CA 95610

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? YES X NO

3. NATURE OF CONVEYANCE:

2-22-99

X ASSIGNMENT

☐ SECURITY AGREEMENT

☐ MERGER

☐ CHANGE OF NAME

☐ OTHER:

EXECUTION DATE: January 19, 1999

ADDITIONAL NAME(S) AND ADDRESS(ES) ATTACHED? YES X NO

4. APPLICATION NUMBER(S) OR PATENT NUMBER(S):

IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS:

A. PATENT APPLICATION NUMBER(S)

09/233,567

ADDITIONAL NUMBERS ATTACHED? YES X NO

B. PATENT NUMBER(S)

5. NAME AND ADDRESS OF PARTY TO WHOM
CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED:

George H. Gerstman
GERSTMAN, ELLIS & McMILLIN, LTD.
Two North LaSalle Street
Suite 2010
Chicago, Illinois 60602

6. TOTAL NUMBER OF PATENTS OR APPLICATIONS

INVOLVED: 1

7. TOTAL FEE (37 CFR § 3.41): \$ 40.00

☐ ENCLOSED

X AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT NO. 07-1141

8. DEPOSIT ACCOUNT NUMBER: 07-1141

02/23/1999 DNGUYEN 00000293 071141 09233567

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9. STATEMENT AND SIGNATURE.

TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT.

George H. Gerstman

NAME OF PERSON SIGNING

Total number of pages including attachments and documents: 3

SIGNATURE

DATE

2/19/99

ASSIGNMENT

Docket No. 14429

Serial No. 09/233,567

Filed 1/19/99

Long Sheng Yu, hereinafter the assignor, for good and valuable consideration, hereby assigns to Kriton Medical, Inc., a Delaware corporation, hereinafter the assignee, its successors and assigns, the entire right, title and interest in the invention or improvements of the assignor disclosed in an application for Patent of the United States, identified as Case No. 14429 in the offices of Gerstman, Ellis & McMillin, Ltd., Two North LaSalle Street, Suite 2010, Chicago, Illinois 60602 and entitled BLOOD PUMP USING CROSS-FLOW PRINCIPLES, in said application and any and all other applications, both United States and foreign, which the assignor may file, either solely or jointly with others, on said invention or improvements, and in any and all Patent of the United States and foreign countries which may be obtained on any of said applications and in any reissue or extension of such patents.

The assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Patent to said assignee.

The assignor warrants himself to be the owner of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrants that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the assignor hereby agrees, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary declaration, oath, or affidavit relating thereto, and any application for the reissue or extension of any Patent that may be granted upon said application, and any and all applications and other documents for Patent in foreign countries on said invention or improvements that said assignee, its successors, or assigns may deem necessary or expedient, and for the said considerations the assignor further agrees, upon the request of said assignee, its successors, or assigns, to cooperate to the best of the ability of the assignor with said assignee, its successors, or assigns, in any proceedings or transactions involving such application or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain and enforce said Patent, both United states and foreign, and vest all rights therein hereby conveyed in the assignee, its successors, and assigns, whereby said patent will be held and enjoyed by the said assignee, its successors and assigns to the full end of the term for which said Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

Date: 1/19/1999

Long Sheng Yu
Long Sheng Yu

State of California)
)SS
County of Sacramento)

On this 19th day of January, 1999, before me, a Notary Public in and for the County and State aforesaid, appeared Long Sheng Yu, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the use and purpose therein expressed.

WITNESS my hand and seal the day and year last above given.



Suzanne M. Deger
Notary Public

(SEAL)