

02-24-1999

Docket No.: VM 6010

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RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

100970354

Tax savings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Joel D. Gray
Donald Williams
Thomas Block

2-19-99

Additional names(s) of conveying party(ies) ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 1-20-99, 1-29-99, 2-11-99

2. Name and address of receiving party(ies):

Name: Kay H.P. Hannafan

Internal Address: MPKB-1A

Street Address: 1430 Waukegan Road

City: McGaw Park State: IL ZIP: 60085

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

08/936,394

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kay H.P. Hannafan

Internal Address: MPKB-1A

02/22/1999 JSH0867Z 00000184 010485 08936394

01 FC:581 40.00 CH

Street Address: 1430 Waukegan Rd

City: McGaw Park State: IL ZIP: 60085

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

010485

40E

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kay H.P. Hannafan

Kay H.P. Hannafan

16 February 1999

Name of Person Signing

Signature

5

Date

Total number of pages including cover sheet, attachments, and document:

PATENT

REEL: 9772 FRAME: 0880

ASSIGNMENT

Serial No. : 08/936,394

Filed: September 25, 1998

In consideration of ONE DOLLAR and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, we hereby assign to ALLEGIANCE CORPORATION (hereinafter referred to as "assignee"), a corporation of Delaware, having a principal place of business at 1430 Waukegan Road, McGaw Park, Illinois 60085, its successor, legal representatives and assigns, the entire right, title and interest throughout the world in our invention or improvements in "SURGICAL DEVICE WITH MALLEABLE SHAFT" and in the application for Patent of the United States therefor, executed by each of us individually on the date(s) indicated below and any and all other United States applications and applications in any and all countries which we may file, either solely or jointly with others, on said invention or improvements, and in any and all Patents of the United States or of any other country which may be obtained on any of the said applications, and in any reissue or extension thereof.

We hereby authorize and request the Commissioner of Patents to issue said Patent to said ALLEGIANCE CORPORATION.

We hereby authorize and request the attorneys of record in said application to insert in this assignment the date and serial number of said application when officially known.

We warrant ourselves to be the owner of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said considerations we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and renewal applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of any Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient, and for the said considerations we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division thereof, or Patent issued thereon, or any reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of my ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. We further agree to perform, upon such request, any and all affirmative acts to obtain Patent, and vest all rights therein hereby conveyed in the said assignee, its successors, legal representatives and assigns whereby said Patent will be held and enjoyed by the said assignee, its successors, legal representatives and assigns to the end of the term for which said Patent may be granted as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made, and for the said considerations we hereby also assign to said assignee, its successors, legal representatives and assigns the entire right, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model application in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors,

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REEL: 9772 FRAME: 0881

legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

WITNESS our hand and seal

Signature: _____

Typed Name: JOEL D. GRAY

State of _____ County of _____

Signed before me on this _____ day of _____, 1998 before me, a Notary Public, JOEL D. GRAY appeared to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

Notary Public

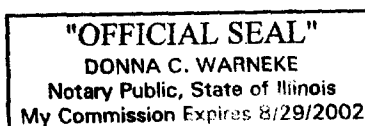
Signature: Donald B Williams

Typed Name: DONALD B. WILLIAMS

State of Illinois County of Lake

Signed before me on this 20th day of Apr, 1998 before me, a Notary Public, DONALD B. WILLIAMS appeared to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

Donna C Warneke
Notary Public



THIS DOCUMENT MUST BE EXECUTED IN THE PRESENCE OF A NOTARY
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PATENT
REEL: 9772 FRAME: 0882

legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

WITNESS our hand and seal

Signature: _____

Typed Name: _____

JOEL D. GRAY

State of _____

County of _____

Signed before me on this 29th day of January, 1998 before me, a Notary Public, JOEL D. GRAY appeared to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.



Notary Public

Signature: _____

Typed Name: _____

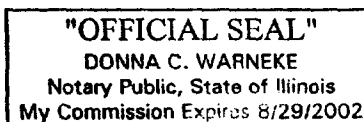
DONALD B. WILLIAMS

State of _____

County of _____

Signed before me on this 20th day of Apr, 1998 before me, a Notary Public, DONALD B. WILLIAMS appeared to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

Notary Public



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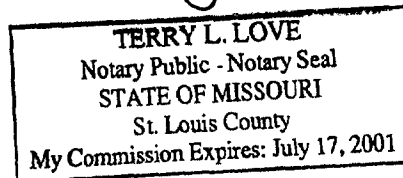
Signature: Thomas J Block

Typed Name: THOMAS J. BLOCK

State of Missouri County of St. Louis

Signed before me on this 11th day of February, ¹⁹⁹⁹~~1998~~ before me, a Notary Public, THOMAS J. BLOCK appeared to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

Terry L. Love
Notary Public



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