

MRD 2-22-99

FORM PTO-1595
(Rev. 3-93)

RECORDATION

02-25-1999

DEPARTMENT OF COMMERCE
Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

PATE
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1999 FEB 22 AM 8:48

1. Name of conveying party(ies):

Ciba-Geigy Corporation

OPR/FINANCE

2. Name and address of receiving party(ies)

Name: Novartis Corporation (prev. Ciba-Geigy Corp.)

Internal Address: _____

Street Address: 556 Morris AvenueCity: Summit State: NJ ZIP: 07901Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Other _____

Execution Date: December 5, 1997

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

02/23/1999 SBURNS 00000116 190134 5731266

01 FC:581 40.00 CH

B. Patent No.(s)

US 5,731,266 issued 3/24/98

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael W. GlynnInternal Address: Novartis CorporationPatent and Trademark Dept.Street Address: 564 Morris AvenueCity: Summit State: NJ ZIP: 07901-10276. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*William A. Teoli, Jr.
Name of Person Signing
Reg. No. 33,104
SignatureFebruary 17, 1999
Date☒ Certificate of mailing on reverse sideTotal number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

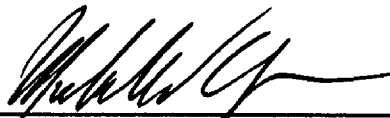
PATENT
REEL: 9773 FRAME: 0560

CERTIFICATE OF CHANGE OF NAME

Attached hereto is a true and accurate copy of a certification by the Department of State of the State of New York, dated June 12, 1997, certifying, inter alia, the name change of Ciba-Geigy Corporation to Novartis Corporation, filed on December 31, 1996.

I, Michael W. Glynn, hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true. Further, I am aware that willful and false statements and the like are punishable by fine or imprisonment, or both (18 USC §1001) and may jeopardize the validity of this document and any registration resulting therefrom.

Date: 12/5/97



Michael W. Glynn
Vice President, Novartis Corporation
Reg. No. 27,285

State of New York | ss:
Department of State

I hereby certify, that the certificate of incorporation of NOVARTIS CORPORATION was filed on 11/15/1966, under the name of ARDSLEY CHEMICAL CORPORATION, with perpetual duration, and that a diligent examination has been made of the index of corporation papers filed in this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation. I further certify the following:

A Certificate of Merger and Name Change of ARDSLEY CHEMICAL CORPORATION, changing name to GEIGY CHEMICAL CORPORATION was filed on 12/30/1966.

A Certificate of Merger and Name Change of GEIGY CHEMICAL CORPORATION, changing name to CIBA-GEIGY CORPORATION was filed on 10/21/1970.

A Certificate of Amendment was filed on 12/19/1972.

A Certificate of Merger was filed on 12/29/1972.

A Certificate of Merger was filed on 12/19/1974.

A Certificate of Merger was filed on 12/24/1974.

A Certificate of Merger was filed on 12/26/1974.

A Certificate of Amendment was filed on 01/22/1979.

A Certificate of Amendment was filed on 08/24/1979.

A Certificate of Merger was filed on 12/26/1979.

A Certificate of Merger was filed on 12/26/1979.

A Certificate of Merger was filed on 11/02/1981.

A Certificate of Merger was filed on 12/17/1981.

A Certificate of Merger was filed on 12/29/1983.

A Certificate of Merger was filed on 12/19/1984.

A Certificate of Merger was filed on 03/31/1987.

A Certificate of Merger was filed on 06/26/1987.

A Certificate of Merger was filed on 01/26/1988.

A Certificate of Merger was filed on 12/30/1988.

A Certificate of Merger was filed on 12/23/1991.

A Certificate of Merger was filed on 12/23/1991.

A Certificate of Merger was filed on 12/23/1991.

A Certificate of Merger was filed on 05/22/1992.

A Biennial Statement was filed 12/10/1992.

A Certificate of Merger was filed on 12/22/1992.

A Biennial Statement was filed 12/09/1993.

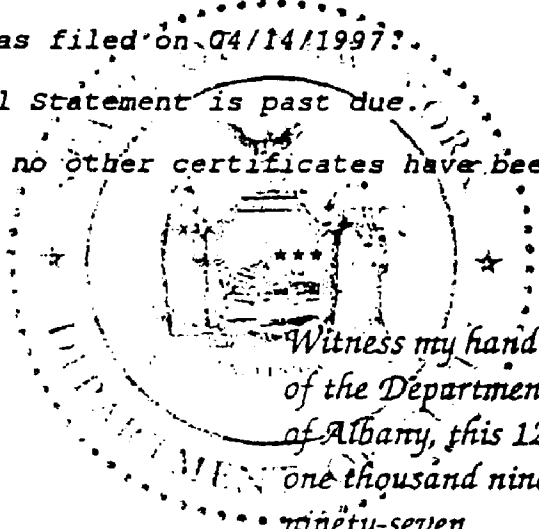
Certificate of change was filed on 06/02/1995.

A Certificate of Merger and Name Change of CIBA-GEIGY CORPORATION,
changing name to NOVARTIS CORPORATION was filed on 12/31/1996.

Certificate of change was filed on 04/14/1997.

The Corporation Biennial Statement is past due.

I further certify, that no other certificates have been filed by such
corporation.



Witness my hand and the official seal
of the Department of State at the City
of Albany, this 12th day of June
one thousand nine hundred and
ninety-seven.

Special Deputy Secretary of State

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