

02-25-1999



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To the Honorable Commissioner of Patents.

100975604

Attached original documents or copy thereof.

1. Name of conveying party(ies):

Pankaj Modi

2-17-99

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Generex Pharmaceuticals Inc.

Address: 202-33 Harbour Square

City: Toronto

State/Prov.: Ontario

Country: Canada

ZIP: M5G 2G2

Additional name(s) & address(es)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: February 12, 1999

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: February 16, 1999

Patent Application No.

Filing date

B. Patent No.(s)

Caes No. : 5002-20400

February 17, 1999

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert E. Vernon

Registration No. 31080

Address: Two Robert Speck Parkway, Suite 901

02/25/1999 JSHADAZZ 00000014 134764 09251464

01 FC:581 40.00 CH

City: Mississauga

State/Prov.: Ontario

Country: Canada

ZIP: L4Z 1H8

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

13-4764

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert E. Vernon

R E Vernon

February 16, 1999

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and

3

ASSIGNMENT

I, the undersigned, **PANKAJ MODI**, hereby declare that I am the true and first inventor of an invention relating to:

AEROSOL FORMULATIONS FOR BUCCAL AND PULMONARY APPLICATION

which is disclosed in an application for Letters Patent filed in the PCT Office on **February 5, 1999** under Serial No.* and, for valuable consideration, the receipt and adequacy of which is hereby acknowledged, and in fulfilment of the obligations under my contract of employment, I hereby sell, assign, and transfer unto **GENEREX PHARMACEUTICALS INC.**, a corporation organized and existing under the laws of Ontario, Canada and having its principal place of business at 202 - 33 Harbour Square, Toronto, Ontario, M5G 2G2 hereinafter referred to as the assignee, my entire right, title, and interest in and to the aforesaid application for Letters Patent, including any priority rights derived from the aforesaid application for Letters Patent by virtue of the International Convention for the Protection of Industrial Property for any and all member countries of the aforesaid International Convention, and the entire right, title and interest in and to any and all my inventions, whether invented jointly or solely, disclosed in the aforesaid application for Letters patent, and in and to any and all applications for Letters Patent for any such inventions in any country whatsoever, and in and to any and all patents for any such inventions in any country whatsoever, with the sole right to file such applications in its name or mine, including the sole right to file such applications under the aforesaid International Convention, together with the sole right to have said patents granted in its name or mine and to enforce said patents and to sue for and recover profits and damages for any and all infringements thereof, and hereby agree, whenever requested, to communicate to said assignee, its successors, assigns, and legal representatives, any facts known to me respecting said inventions, to testify in any legal proceedings, to execute all applications, papers or instruments necessary or required by said assignee, its

successors, assigns and legal representatives to carry into effect any of the provisions of this instrument, and generally to do everything possible to aid said assignee, its successors, assigns, and legal representatives to obtain and enforce proper patent protection for said inventions in any and all countries.

** I hereby authorize and request my agent, Robert E. Vernon, of Barrigar & Moss, Two Robert Speck Parkway, Suite 901, Mississauga, Ontario, Canada, L4Z 1H8 to insert here the application number of said application, when known.

Signed this 12th day of February, 1999

PROVINCE OF ONTARIO

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Pankaj Modi

PANKAJ MODI

WITNESSED:

On this 12th day of February, 1999, before me personally appeared PANKAJ MODI who executed the foregoing instrument, and acknowledged that he executed the same of his own free will for the purposes therein set forth.

Robert Edgar Vernon

NOTARY PUBLIC

Robert Edgar Vernon, A Notary Public,
Province of Ontario, limited to the attestation
of instruments and the taking of affidavits,
for work as a Patent and Trade Mark Agent.

Expires April 24, 2001