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FORM PTO-1595

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U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Hon Wah Chin

Additional name(s) of conveying parties attached? ☐ Yes
X No.

3. Nature of conveyance:

X Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other:

Execution Date: January 5, 1999

2. Name and address of receiving party(ies)

Name: Optical Networks, Inc.

Internal Address:

Street Address: 166b Baypointe Parkway

City: San Jose State: CA ZIP: 95134

Additional names and addresses attached? ☐ Yes X No

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/225,920

B. Patent No(s):

Additional numbers attached? ☐ Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen J. LeBlanc
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*Stephen J. LeBlanc
Name of Person Signing

Signature

Date

Atty Reg. No. 36.579

Total number of pages including cover sheet, attachments and document 2

10. Change Correspondence Address to that of Part 5? ☐ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents
Box: Assignments
Washington, D.C. 20231

ASSIGNMENT OF PATENT APPLICATION

SOLE

WHEREAS, Hon Wah Chin, of 3281 Greer Rd, Palo Alto, CA, 94303, hereinafter referred to as "Assignor," is the inventor of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHOD AND APPARATUS FOR CONFIGURATION BY A FIRST NETWORK ELEMENT BASED ON OPERATING PARAMETERS OF A SECOND NETWORK ELEMENT

Date(s) of execution of Declaration: 1/5/99

Filing Date: 1/5/99

Application No.: 09/225,920; and

WHEREAS, Optical Networks, Inc., of 166 b Baypointe Parkway, San Jose, CA, 95134, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, proiongation or extension thereof.

Assignor further agrees that Assignor will, at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has signed his name on the dated indicated.

Dated: 1/5/99

Hon Wah Chin
Hon Wah Chin

Signature witnessed by:

Dated: 1/5/99

Timothy J. V.