

Form PTO-1595
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03-01-1999

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To the Honorable Commissioner
original documents or copy the.

Please record the attached

1. Name of conveying party(ies):

- (1) Kiyoshi SUZUKI
(2) Hisashi MASUMURA
(3) Teruaki FUKAMI

Additional name(s) of conveying
party(ies) attached?
___ yes ___ X no

2. Name and address of receiving party

Name: Shin-Etsu Handotai Co., Ltd.

Internal Address:

Street Address: Toginbiru, 4-2, Marunouchi
1-chome

City State Zip
Chiyoda-ku, Tokyo, Japan

Additional name(s) & address(es) attached?

___ yes ___ X no

3. Nature of Conveyance:

- X Assignment ___ Merger
___ Security Agreement ___ Change of Name
___ Other:

Execution Date: (1) February 9, 1999
(2) February 8, 1999
(3) February 8, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of
the application is: February 12, 1999

A. Patent Application No.(s)

B. Patent No(s).

Additional numbers attached? ___ Yes ___ X No5. Name and address of party to whom
correspondence concerning document
should be mailed:

Evenson, McKeown, Edwards
& Lenahan, P.L.L.C.
1200 G Street, N.W., Suite 700
Washington, D.C. 20005

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): \$ 40.00
X Enclosed. Please charge any underpayment in
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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy
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Name J. D. Evans Reg. No. 26,269

February 12, 1999
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PATENT
REEL: 9780 FRAME: 0653

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto:

Shin-Etsu Handotai Co., Ltd.
Toginbiru, 4-2, Marunouchi 1-chome, Chiyoda-ku
Tokyo, Japan

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to:

POLISHING METHOD FOR SEMICONDUCTOR WAFER AND POLISHING PAD USED THEREIN

as set forth in his United States Patent Application (check one):

 X executed concurrently herewith,
 executed on _____,
 Serial No. _____, filed _____,

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants the firm of **EVENSON, McKEOWN, EDWARDS & LENAHAN, P.L.L.C.**, or any member thereof, the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and trademark Office for recordation of this Assignment.

9. Feb, 1999
(date)

Kiyoshi Suzuki

(signature of 1st inventor)

Kiyoshi SUZUKI

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150, aza-Ohira, oaza-Odakura, Nishigou-mura
Nishishirakawa-gun, Fukushima, Japan

Robukiro Ohara

(signature of witness)

8. Feb, 1999
(date)

Hisashi Masumura

(signature of 2nd inventor)

Hisashi MASUMURA

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Robukiro Ohara

(signature of witness)

8. Feb. 1999
(date)

Teruaki Fukami

(signature of 3rd inventor)

Teruaki FUKAMI

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Robukuro Ohara

(signature of witness)

Note: Prima facie evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.