

03-01-1999

FORM PTO-1595

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

ET

Attorney Docket No. 17965-726

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): MLP 2-16-99Matthew R. Selmon; Charles F. Milo; Robert L. Wynne;
Suresh Pai; Kent Dell; Charles GreslAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: January 29, 1999; January 4, 1999;
December 9, 1998; December 8, 1998

2. Name and address of receiving party(ies):

Name: LuMend, Inc.Address: 173 Jefferson Drive
Menlo Park, CA 94025Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/149,875

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: U.P. Peter EngAddress: WILSON SONSINI GOODRICH & ROSATI650 Page Mill RoadPalo Alto, California 94304-10506. Total number of applications and patents involved: OneTotal fee (37 CFR 3.41): \$40.00☒ Enclosed☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

23-2415

(Attach duplicate copy of this page if paying by deposit account)

01 FEB 1999

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

U.P. Peter Eng
Name of Person Signing
Registration No. 39,666

Signature

Date

Total number of pages including cover sheet, attachments, and document: 6

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
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Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

ASSIGNMENT OF APPLICATION

Docket Number 17965-726

Whereas, the undersigned:

- | | | | |
|---|--|--|---|
| 1. Selmon, Matthew R.
99 Walnut Avenue
Atherton, CA 94027 | 2. Milo, Charles F.
977 East Grant Place
San Mateo, CA 94402 | 3. Wynne, Robert L.
340 Esplanade #19
Pacifica, CA 94044 | 4. Pai, Suresh S.
730 East Evelyn Avenue #832
Sunnyvale, CA 94086 |
| 5. Dell, Kent D.
1131 Grand Street
Redwood City, CA 94061 | 6. Gresl, Charles
146 Everson Street
San Francisco, CA 94131 | | |

hereinafter termed "Inventors", have invented certain new and useful improvements in

METHODS AND APPARATUS FOR TREATING VASCULAR OCCLUSIONS☒for which an application for United States Patent was filed on September 8, 1998, Application No. 09/149,875☐

for which an application for a United States Patent was executed on _____, and

WHEREAS, LuMend, Inc., a corporation of the State of California, having a place of business at 173 Jefferson Drive, Menlo Park, California 94025, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

- Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.
- The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument as said Assignee as of the dates written below.

Date: 1/29/99

Matthew R. Selmon
Matthew R. Selmon

State of California)
County of San Mateo)

On 1/29/99, 1998, before me, personally appeared Matthew R. Selmon ☒ personally known to me or ☐ proved to me on the basis of satisfactory evidence, to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same. WITNESS my hand and official seal.

Deborah Kent Geddes
(Notary Public)

ATTACHED
ACKNOWLEDGMENT

Date: 1/29/99

Charles F. Milo
Charles F. Milo

State of California)
County of San Mateo)

On 1/29/99, 1998, before me, personally appeared CHARLES F. MILO ☐ personally known to me or ☒ proved to me on the basis of satisfactory evidence, to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same. WITNESS my hand and official seal.

Robert L. Wynne
(Notary Public)

Date: 9 DEC 98

State of California)
County of)

On _____, 1998, before me, personally appeared _____, ☐ personally known to me or ☐ proved to me on the basis of satisfactory evidence, to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same. WITNESS my hand and official seal.

(Notary Public)

Date: 12/9/98

State of California)
County of)

On _____, 1998, before me, personally appeared _____, ☐ personally known to me or ☐ proved to me on the basis of satisfactory evidence, to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same. WITNESS my hand and official seal.

(Notary Public)

ATTACHED CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT FOR
Robert LARRY WYNN, SURESH SUBRAYA PAI, KENT DAYL DELL.
12/09/98

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of SAN MATEO

SS.

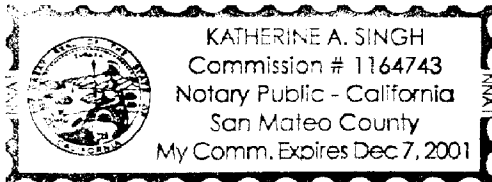
On DEC. 09, 1998, before me, KATHERINE A. SINGH, Notary Public
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared ROBERT LARRY WYNNE, SURESH SUBRAYA PAI,
AND KENT DARYL DELL
Name(s) of Signer(s)

☐ personally known to me

☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged to me that ~~he~~ ~~she~~ they executed the same in ~~his~~ ~~her~~ their authorized capacity(ies), and that by ~~his~~ ~~her~~ their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

WITNESS my hand and official seal.

Katherine A. Singh
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: ASSIGNMENT OF APPLICATION
APPLICATION # 09/149,875

Document Date: SEPT. 08, 1998 Number of Pages: 3 (THREE)

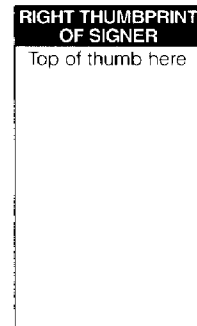
Signer(s) Other Than Named Above: CHARLES GRESL, MATTHEW R. SELMON, AND
CHARLES F. MILO

Capacity(ies) Claimed by Signer

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____



IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below.

Date: 12/9/98

Kent D. Dell
Kent D. Dell

State of California)
County of)

On _____, 1998, before me, personally appeared _____, ☐ personally known to me or ☐ proved to me on the basis of satisfactory evidence, to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same. WITNESS my hand and official seal.

(Notary Public)

Date: 12-8-98

Charles Gresl
Charles Gresl

State of California)
County of SAN MATEO)

On DEC. 8TH, 1998, before me, personally appeared CHARLES GRESL, ☐ personally known to me or ☒ proved to me on the basis of satisfactory evidence, to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same. WITNESS my hand and official seal.

Steven A. Wagner
(Notary Public)



CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

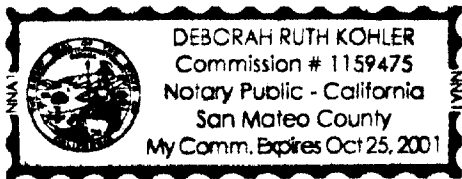
State of California

County of San Mateo

On January 29, 1999 before me, Deborah Ruth Kohler, Notary Public
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Matthew R. Selmon
Name(s) of Signer(s)

☒ personally known to me – OR – ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Deborah Ruth Kohler
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment of Application

Document Date: 9/8/98 Docket No 17965-926 Number of Pages: 1

Signer(s) Other Than Named Above: Charles F. Milo, Robert L. Wayne, Suresh S. Pai

Capacity(ies) Claimed by Signer(s)

Signer's Name: Matthew R. Selmon

- ☐ Individual
☐ Corporate Officer
Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☒ Other: Inventor

Signer Is Representing:



Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing:

