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**RECOF**

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(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



**100977257**

**U.S. DEPARTMENT OF COMMERCE**  
Patent and Trademark Office

To the : Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Eric Hendrickson

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Brown University Research Foundation

Internal Address:

Street Address: 42 Charlesfield St.  
Providence, RI 02912

Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: February 12, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

09/173,914

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence  
Concerning document should be mailed:

Name: Helen C.Lockhart

Address: WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, MA 02210

6. Total number of applications and patents involved: **[1]**

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

The Commissioner is authorized to charge:

8. Deposit Account No: 23/2825

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Helen C. Lockhart

Name of Person Signing

*Helen C. Lockhart*  
Registration No. 39,248

February 19, 1999

Date

Total number of pages including cover sheet, attachments, and document: **[3]**

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40.00 OP

## ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I the undersigned Eric Hendrickson, hereby

Sell, assign and transfer to Brown University Research Foundation, an institution having a place of business at 42 Charlesfield Street, Providence, Rhode Island 02912, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on October 16, 1998 under Serial No. 09,173,914 and entitled **A NOVEL LEUCINE ZIPPER PROTEIN, KARP-1 AND METHODS OF REGULATING DNA DEPENDENT PROTEIN KINASE ACTIVITY**, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

I agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

I hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

I covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

2/12/99

Date



Eric A. Hendrickson

STATE OF Rhode Island :  
COUNTY OF Providence :

Subscribed and sworn to before me this 12th day of February, 1999.

SEAL

  
Notary Public

My Commission Expires 05/14/01