

FORM PTO-1619A

Expires 06/30/99  
OMB 0651-0027

03-05-1999



100978417

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT****RECORDATION FORM COVER SHEET  
PATENTS ONLY**

NO 3-1-99

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

New



Resubmission (Non-Recordation)

Document ID#



Correction of PTO Error

Reel #

Frame #



Corrective Document

Reel #

Frame #

**Conveyance Type**

Assignment



License



Merger



Security Agreement



Change of Name



Other

Confirmatory License

**U.S. Government**

(For Use ONLY by U.S. Government Agencies)



Departmental File



Secret File

**Conveying Party(ies)**

Mark if additional names of conveying parties attached

Name (line 1)

UNIVERSITY OF CALIFORNIA

Execution Date  
Month Day Year

10/19/98

Name (line 2)

**Second Party**

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party**

Mark if additional names of receiving parties attached

Name (line 1)

National Institutes of Health, The

If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic  
representative is attached.  
(Designation must be a  
separate document from  
Assignment.)

Name (line 2)

Extramural Inventions Office, The

Address (line 1)

6701 Rockledge Drive, Room 3188

Address (line 2)

MSC 7750

Address (line 3)

Bethesda

Maryland / USA

20892-7750

City

State/Country

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

REEL: 9791 FRAME: 0171

FORM PTO-1619B  
Expires 06/30/99  
OMB 0651-0027

Page 2

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**Correspondent Name and Address**Area Code and Telephone Number Name Address (line 1) Address (line 2) Address (line 3) Address (line 4) **Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# **Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)****Patent Number(s)**If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# **Fee Amount**Fee Amount for Properties Listed (37 CFR 3.41): \$ Method of Payment:  
Deposit AccountEnclosed ☐Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 

Authorization to charge additional fees:

Yes ☐No ☒**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Belen Awetahegne

Name of Person Signing



Signature

2/1/99

Date

**LICENSE TO THE UNITED STATES GOVERNMENT**

This instrument confirms to the United States Government, as represented by the National Institutes of Health, an irrevocable, nonexclusive, nontransferable, royalty-free license to practice or have practiced on its behalf throughout the world the following subject invention:

Invention Title : New Anti-Cancer Drugs  
Inventor(s) : Dennis A. Carson, Hsien C. Shih, Howard B. Cottam  
Patent Application Title : Inadone and Tetralone Compounds for Inhibiting Cell Proliferation  
Serial No. : 09/148,576  
Filing Date : September 4, 1998  
Country (if other than U.S.) : PCT all members

This subject invention was made with National Institutes of Health Grant No. GM23200.

Principal rights to this subject invention have been left with The Regents of the University of California, Licensor.

Signed: Linda S. Stevenson

Name: Linda S. Stevenson

Title: Principal Prosecution Analyst

Office of Technology Transfer

Date: October 19, 1998

