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OVER SHEET PATENTS ONLY Patents & Trademark OfficeAttorney Docket No.: P506-8004
Date: November 11, 1998

11-12-98

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

Silvano SPINELLI, Ernesto MENTA, Hans-Willi KRELL
Additional name(s) of conveying party(ies) attached?☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: F. Hoffman-La Roche AG

Address: 124 Grenzacherstrasse

CH-4070 BASLE (Switzerland)

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 10/20/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 10/20/98

A. Patent Application No.(s)
09/142,058

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Nikaido, Marmelstein, Murray & Oram LLP

Street Address: Metropolitan Square, 655 Fifteenth Street,

N.W., Suite 330 - G Street Lobby

Washington, D.C. 20005-5701

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Enclosed-Check No.: 17948☐ Authorized to be charged to deposit account

8. Deposit account number: 14-1060

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert B. Murray
Name of Person Signing
Reg. No. 22,980

Signature

November 11, 1998
Date

Total number of pages including cover sheet, attachments, and document: 2

PATENT
REEL: 9791 FRAME: 0620

Docket No. _____

7-25-98

U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

F. HOFFMANN-LA ROCHE AG

124 Grenzachstrasse

CH-4070 BASLE (Switzerland)

(Insert
ASSIGNEE's
Name(s)
Address(es))

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

Use of derivatives of tetrahydro-beta-carbolines as
antimetastatic agents

(Title of
Invention)

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

(*If the assignment
is being filed
after the
filing of the
application, this
section must be
completed)

*filed on _____, Serial No. _____

(Nikaido, Marmelstein, Murray & Oram is hereby authorized to insert the series code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with application and any continuing, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, division, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)


(SIGNATURE)

SPINELLI Silvano
(TYPE NAME)

20.10.1998
(DATE)


(SIGNATURE)

MENTA Ernesto
(TYPE NAME)

20.10.1998
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