

05-21-1999

Docket No: P-169

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeFORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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POB/REV02

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Rifat Pamukcu
Gary Piazza

2. Name and address of receiving party(ies):

Name: Cell Pathways, Inc.

Internal Address: _____

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

2-22-99

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Street Address: 702 Electronic Drive

City: Horsham

State: PA

ZIP: 19044

Execution Date: 2-12-99

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 11/24/98

A. Patent Application No.(s)

P-169

B. Patent No.(s)

09/198413

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert W. Stevenson - P31064

Internal Address: _____

Street Address: 702 Electronic Drive

City: Horsham

State: PA

ZIP: 19044

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

500352

40E

NO SPEC
Fee

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert W. Stevenson

Name of Person Signing

Signature

PATENT

Date

2/19/99

REEL: 9794 FRAME: 0479

Case No. P-169**ASSIGNMENT**

WHEREAS, Rifat Pamukcu and Gary A. Piazza, hereinafter called the "Assignors", have made the invention described in the United States patent application entitled, "METHOD OF INHIBITING NEOPLASTIC CELLS WITH ISOQUINOLINE DERIVATIVES," executed by Assignors on the same date as this Assignment;

WHEREAS, Cell Pathways, Inc., a corporation organized and existing under the laws of Delaware, having a place of business at 702 Electronic Drive, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and the patent application identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

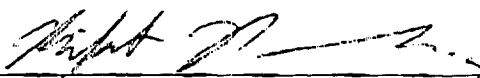
The Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or

any such patent application or patent; and that the Assignors will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

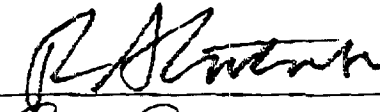
DATE:

2/12/99

Rifat Pamukcu

WITNESSED:

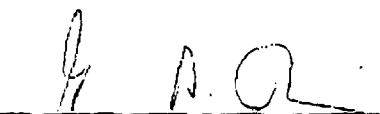
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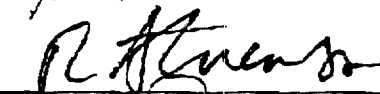
DATE:

2/15/99

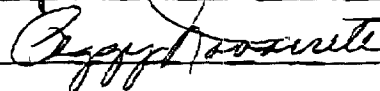
Gary A. Riazza

WITNESSED:

DATE:

2/15/99

DATE:

2/15/99

DATE:

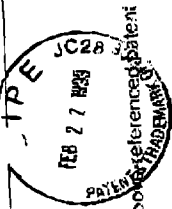
REV. Jan-97

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RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Docket P-169)

Received in the USPTO was the following:



- (1) Fully executed Declaration & Power of Attorney for the above referenced Patent application.
- (2) Verified Statement Claiming Small Entity.
- (3) Patent Application Transmittal Letter.
- (4) Assignment with signatures for Rita Samukou and Gary A. Piazza.
- (5) Assignment Reconstitution Form.
- (6) Check for the amount of \$40.00 for recording fee.
- (7) Check for the amount of \$445.00 for filing fee and surcharge fee.
- (8) Copy of Form PTO-1533 (in duplicate).
- (9) Response to Notice To File Missing Parts of Application Transmittal Letter.
- (10) Certificate of Mailing.

Sent under U.S. First Class Mail