

03-11-1999

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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P08/REV02

RE

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): MAR 2-26-99
Craig Levasseur
Christopher J. Pahl

2. Name and address of receiving party(ies):

Name: Lumiere Design & Manufacturing, Inc.

Internal Address: _____

Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Street Address: 2382 Townsgate Road

City: Westlake State: CA ZIP: 91361

Execution Date: 10/21/98

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

09/177,417

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John E. Wagner

Internal Address: Wagner Middlebrook & Kimbell

Street Address: 3541 Ocean View Blvd.

City: Glendale State: CA ZIP: 91208

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ 40.00

- Previously paid
- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

50-0420

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John E. Wagner

3/2/99

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **6**

PATENT

REEL: 9795 FRAME: 0020

10-30-1998



100863281

Jc135 U.S. PTO
09/177417
10/22/98

Practitioner's Docket No. 01-5363-28

PATENT

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

**COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 CFR 3.31)**

**IDENTIFICATION OF APPLICATION
(37 CFR 3.21 and 37 CFR 3.31(a)(4))**

1. The patent application provisional patent application filed herewith and, to which the attached assignment (document) refers, is identified as follows:

a. Type of application

- Application of patent (37 C.F.R. 1.53(b))
- Continued prosecution (nonprovisional) application (37 C.F.R. § 1.53(d))
- Provisional application (37 C.F.R. 1.53(c))
- International application (37 C.F.R. 1.431)

MRD
10.22.98

b. Date of execution: October 22, 1998

NOTE: Leave this blank if assignment is for a provisional application.

c. Name of each inventor:

- 1: Craig Levasseur
- 2: Christopher J. Pahl
- 3:

09/177417

d. Title of invention: BRACKET ASSEMBLY FOR TREE MOUNTED LIGHTING

CERTIFICATION UNDER 37 C.F.R. 1.10*
(Express Mail label number is mandatory.)
(Express Mail certification is optional.)

I hereby certify that this "Cover Sheet For Assignment (Document) Accompanying New Patent Application," along with any document referred to, is being deposited with the United States Postal Service on this date October 22, 1998, in an envelope as "Express Mail Post Office to Addressee," Mailing Label Number EL054112549US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

John E. Wagner

(type or print name of person mailing paper)

Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

***WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

10/29/1998 BNGUYEN 00000322 09177417 (Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 1 of 4)

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PATENT
REEL: 9795 FRAME: 0021

NOTE: 37 C.F.R. § 3.21 states: "If an assignment of a patent application filed under § 1.53(b) is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application under § 1.53(c) is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended."

NAME OF PARTY(IES) CONVEYING INTEREST (37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: Craig Levasseur

Name 2: Christopher J. Pahl

Name 3: _____

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST
(37 CFR 3.31(a)(2))**

3. The rights are being conveyed to:

Name: Lumiere Design & Manufacturing, Inc.

Address: 2382 Townsgate Road
Westlake Village, CA 91361

Telephone No.: (805) 496-2003

**DESCRIPTION OF INTEREST CONVEYED OR
TRANSACTION RECORDED (37 CFR 3.31(a)(3))**

4. The accompanying document intends to accomplish:

- an assignment.
- a security agreement.
- a license.
- a merger.
- a change of name.
- a change of address.
- other.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE
SHOULD BE MAILED (37 CFR 3.31(a)(5))**

5. Please address correspondence to:

Name: John E. Wagner

Address: Wagner Middlebrook & Kimbell

3541 Ocean View Blvd.

Glendale, CA 91208

Telephone No.: (818) 957-3340

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 2 of 4)

ASSIGNMENT

WHEREAS, the undersigned, CRAIG LEVASSEUR , whose address is 2382 Townsgate Road, Westlake Village, CA 91361, and CHRISTOPHER J. PAHL, whose address is 2382 Townsgate Road, Westlake Village, CA 91361 has invented certain new and useful improvements in "BRACKET ASSEMBLY FOR TREE MOUNTED LIGHTING," for which an application for United States Letters Patent was executed by him on October 21, 1998;

WHEREAS, the undersigned verily believe themselves to be the original first inventors of the invention set forth in said application for Letters Patent;

WHEREAS, LUMIERE DESIGN AND MANUFACTURING, INC., located at 2382 Townsgate Road, Westlake Village, CA 91361, organized and existing under the laws of the State of California, is desirous of acquiring the entire right, title, and interest in and to said invention and all Letters Patents granted therefor in the United States and throughout the world;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other valuable consideration paid to the undersigned, receipt whereof is hereby acknowledged, the undersigned does hereby assign, transfer and set over to LUMIERE DESIGN AND MANUFACTURING, INC., their entire right, title, and interest in and to said invention and all improvements therein and all Letters Patent which may be granted therefor throughout the world, including the right to file direct foreign applications under the provisions of International Conventions directly in the name of the assignee, or any company of its choice.

The undersigned hereby agrees that upon request and without further compensation, but at no expense to the undersigned, he will do all lawful acts, including the timely execution of papers and the giving of testimony that may be necessary or desirable for obtaining, sustaining, reissuing and renewing United States Letters Patent and foreign Letters Patent for said invention

and all improvements therein, and for perfecting and maintaining the title of assignees and their successors to said invention, and particularly in cases of interference and litigation.

The undersigned hereby authorize and request the Commissioner of Patents of the United States and in all foreign countries to issue all Letters Patent based on said above-mentioned patent application and all divisions, continuations, continuations-in-part, reissues and renewals thereof to LUMIERE DESIGN & MANUFACTURING, INC., its successors and assigns.

IN TESTIMONY WHEREOF, the undersigned CRAIG LEVASSEUR and CHRISTOPHER J. PAHL has executed this instrument at Westlake Village, California, this 21st day of October, 1998.

Craig Levasseur
CRAIG LEVASSEUR

PLEASE
&

Christopher J. Pahl
CHRISTOPHER J. PAHL

PLEASE
&

Witness: *David Kuy*
Name and Date

10/22/98

