

03-11-1999

To the Honorable Commissioner of Patents and Trademarks

100979966

attached original documents or copy thereof.

1. Name of conveying party(ies):

Yonhua Tzeng

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Auburn University

Street Address:

Auburn University, Alabama 36849-5201

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: February 23, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:
February 23, 1999

A. Patent application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

D. Scott Sudderth
Wolfe & Carlyle Sandridge & Rice, PLLC
P.O. Box 725388
Atlanta, GA 31139-9388

6. Total number of applications/patents involved: ☒ 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account Number:

09-0528

(Attach duplicate copy of this page if paying by Deposit Account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and the attached copy is a true copy of the original document.

D. Scott Sudderth, #34,026

Name of Person Signing

Signature

Date

Docket No. A029 1050

Total number of pages including cover sheet, attachments, and document: ☒ 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, we, Yonhua Tzeng residing at 306 Graystone Lane, Auburn, Alabama 38630, and Hongbin Zhu residing at 4189 Converse Street, Fremont, California 94538, have invented certain inventions and improvements disclosed in an application for Letters Patents entitled METHOD OF SYNTHESIZING CUBIC BORON NITRIDE FILMS, and about to be filed in the U.S. Patent Office, and

WHEREAS, Auburn University, an Alabama education institute, and having a place of business at Auburn University, Alabama, 36849-5201, is desirous of acquiring all rights in the same;

NOW THEREFORE, for and in consideration of Five Dollars (\$5.00) or other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we assign and transfer unto Auburn University our entire right, title, and interest in and to said application, in and to any divisions, continuations, and reissues thereof, in and to any patents to issue therefrom and in and to all inventions and improvements disclosed and described in said application, preparatory to obtaining Letters Patent of the United States therefor; and we hereby request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States resulting from said application, or from a division, continuation, or reissue thereof, to Auburn University, as assignee, for its interest, and for the sole use and behoof of Auburn University and its assigns and legal representatives.

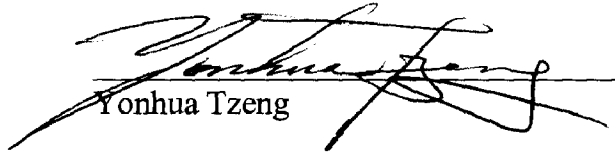
For the same consideration, we do sell, assign, and transfer to Auburn University all of our right, title and interest in and to any foreign application or applications corresponding to said U.S. application, in whole or in part, in countries other than the United States, in and to any Letters

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Patent and similar protective rights granted on said foreign applications, and in and to the right to claim any applicable priority rights arising from or required for said foreign applications under the terms of any applicable conventions, treaties, statutes, or regulations; said foreign applications to be filed and issued in the name of Auburn University or its designee insofar as permitted by applicable law.

AND, for the same consideration, we agree to sign all lawful papers, execute all divisional, continuing, reissue and other applications, make all assignments and rightful oaths, and generally do everything possible to aid Auburn University, its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

Feb. 23, 1999
Date


Yonhua Tzeng

Date

Hongbin Zhu

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