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Form PTO-1505



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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

100981354

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

Thomas J. Shaw and Kathryn Duesman

Additional name(s) and address(es) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Retractable Technologies, Inc.

Internal Address:

511 Lobo Lane, P.O. Box 9
Little Elm, Texas 75608

Street Address:

511 Lobo Lane
Little Elm, Texas 75068

Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other _____

Execution Date: _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/085,496

B. Patent No.(s)

Filed: May 26, 1998

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Harry J. Watson
Registration No. 29,985
LOCKE PURNELL RAIN HARRELL
2200 Ross Avenue, Suite 2200
Dallas, TX 75201

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☒ Any deficiencies or overpayments are authorized to be charged to or credited to deposit account

8. Deposit Account Number: 12-1781

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Harry J. Watson

Name of Person Signing

Harry J. Watson

Signature

Filed 4, 1999

Date

Total number of pages including cover sheet, attachments and document: _____

40.00
09085496
PATR 10/1999
10/15/1999

PATENT

REEL: 9796 FRAME: 0487

ASSIGNMENT

WHEREAS, the Undersigned have invented certain new and useful improvements as below entitled, for which application for United States Letters Patent is made, said application having been executed on the date set forth below; and

WHEREAS, Retractable Technologies, Inc. (hereinafter referred to as "Assignee"), a Texas corporation with an address of 511 Lobo Lane, P.O. Box 9, Little Elm, Texas 75068, desires to acquire our entire right, title and interest in and to the invention, and in and to said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, the Undersigned:

Hereby sell, assign and transfer to Assignee, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which is to be filed in the United States Patent Office and entitled:

"SELF-RETRACTING IV CATHETER INTRODUCER"

and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all utility models, industrial models, certificates of invention, and designs on said improvements; and

Agree that Assignee may further identify the subject matter of this assignment by filling in the Serial Number and Filing Date in the space provided herein below when that information becomes known, to wit:

U.S. Serial No. 09/085,496 U.S. Filing Date May 26, 1998; and

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the Undersigned will execute all divisional, continuing, substitute, renewal, reissue and all other patent applications, and all other like rights of exclusion in any country on any and all said improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known to the Undersigned relating to said improvements

and the history thereof; and generally do everything possible which said Assignee, its successors, assigns and representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Agree that the Undersigned will upon request of Assignee, render prompt assistance and cooperation in the prosecution of legal proceedings involving said improvements, said applications and patents granted thereon, including oppositions, cancellation proceedings, priority contests, public use proceedings and court proceedings, and testify in such proceedings if requested, provided, however, that the expense which may be incurred by the Undersigned in lending such assistance and cooperation be paid by Assignee; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the Undersigned, except as set forth below, and that full right to convey the same as herein expressed is possessed by the Undersigned.

This assignment shall be binding on the heirs and legal representatives of the Undersigned.

Full name of inventor: Thomas J. Shaw
Residence: 1510 Hillcrest Drive
Little Elm, Texas 75068
Citizenship: United States of America

Date Application Executed: 5/18/98

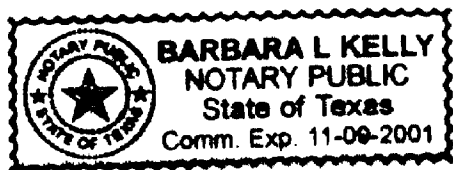
Date 5/18/98 Signature 

STATE OF TEXAS

COUNTY OF Denton

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This 18 day of May, 1998, before me personally appeared the above named Thomas J. Shaw, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that he or she executed the same of his or her own free will for the purpose therein set forth.




Notary Public

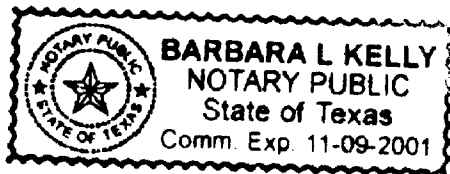
My commission expires: _____

Full name of inventor: Kathryn Duesman
Residence: 26536 East FM 544
Pilot Point, Texas 76258
Citizenship: United States of America
Date Application Executed: 5/18/98

Date 5/18/98 Signature Kathryn Duesman

STATE OF TEXAS §
COUNTY OF Denton §
§

This 18 day of May, 1998, before me personally appeared the above named Kathryn Duesman, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that he or she executed the same of his or her own free will for the purpose therein set forth.



Barbara L. Kelly
Notary Public

My commission expires: _____