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1. Name of conveying party(ies):

Yu Yan

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 01/08/99

2. Name and address of receiving party(ies)

Name: Semiconductor Laser
International Corp.

15 Link Drive

Binghamton, NY 13904

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

09257539

If this document is being filed together with a new application, the execution date of the application is: 01/08/99

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Hugh D. Jaeger

03/08/1999 Internal Address:
PEOPLES 00000023 09257539

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1000 Superior Blvd., Suite 302

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6. Total number of applications and patents involved:

1

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Hugh D. Jaeger

Name of Person Signing

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2.23.99

Date

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PATENT
REEL: 9797 FRAME: 0314

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

ATTORNEY DOCKET NUMBER

SLI

FOR: ☒ U.S. and/or ☒ Foreign Rights
 FOR: ☒ U.S. Application or ☐ U.S. Patent

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR(S) (Inventors):

Yu Yan	11 Whitney Avenue Binghamton, NY 13904	P.R. China
(TYPE OR PRINT NAME OF INVENTORS)	ADDRESS	NATIONALITY

(TYPE OR PRINT NAME OF INVENTORS)	ADDRESS	NATIONALITY
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(TYPE OR PRINT NAME OF INVENTORS)	ADDRESS	NATIONALITY
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hereby sells, assigns and transfers to

ASSIGNEE:

Semiconductor Laser International Corp.	15 Link Drive Binghamton, NY 13904	USA
(TYPE OR PRINT NAME OF ASSIGNEE)	ADDRESS	NATIONALITY

and the successors, assigns and legal representatives of the ASSIGNEE

[complete one of the following]

☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest

for the United States and its territorial possessions

[check the following box if foreign rights are also to be assigned]

☒ and in all foreign countries, including all rights to claim priority in and to any and all improvements which are disclosed in the invention entitled:

METHOD AND APPARATUS FOR STACKING BEAMS

[check and complete (a), (b), (c) or (d)]

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith
- (b) ☐ U.S. patent application executed on _____
- (c) ☐ U.S. application Serial No. _____ / _____ filed _____
- (d) ☐ U.S. Patent No. _____ issued _____

[check (a) if foreign application(s) is also being assigned]

- (a) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

ASSIGNMENT OF INVENTION
(MULTIPLE INVENTORS)

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and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, we have hereunto set hand and seal this 8th day of January, 1999 [Date of Signing].

[WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.]

Yu Yan

(TYPE NAME OF INVENTOR)

X Yu Yan 1/8/99
SIGNATURE OF INVENTOR

(TYPE NAME OF INVENTOR)

SIGNATURE OF INVENTOR

(TYPE NAME OF INVENTOR)

SIGNATURE OF INVENTOR

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