

03-12-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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To the Honorable Commissioner of
documents or copy thereof.

the attached original

1. Name of conveying party(ies):

Thomas J. Hajek, Jr
Additional Names attached: ☐ Yes ☒ No

2-25-99

2. Name and address of receiving party(ies):

Name: Caterpillar Inc.

Internal Address:
Intellectual Property Department

Street Address:
100 N.E. Adams Street

City: Peoria State: Illinois

Zip: 61629-6490

Additional name(s) & addresses
attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment
☐ Security Agreement
☐ Other

Execution Date(s): 02/18/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the
application is 02/18/99.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

Title of Invention: POPPET WITH A FLOW INCREASING ELEMENT FOR LIMITING MOVEMENT THEREOF IN A
POPPET VALVE

Attorney Docket No.: 98-593

5. Name and address of party to whom correspon-
dence concerning document should be mailed:

Name: J. W. Burrows
CATERPILLAR INC.

Internal Address: Intellectual Property Dept.

Street Address: 100 N. E. Adams Street

City: Peoria State: IL

Zip: 61629-6490

6. Total number of applications and patents
involved [1]

7. Total fee (37 CFR §3.41) \$ 40.00 ✓
☐ Enclosed
☒ Authorized to be charged to deposit
account ✓

8. Deposit account number: 03-1129 ✓
(If charged duplicate copy attached)

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document.

J. W. Burrows
Name of Person Signing

J. W. Burrows
Signature

02/25/99
Date

Total number of pages comprising cover sheet, attachments, and document: [2]

Mail document to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
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03/09/1999 JSNABAZZ 00000221 031129 09257114

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40.00 CN

ASSIGNMENT

WHEREAS, THOMAS J. HAJEK, JR., residing at Lockport, Illinois, hereinafter referred to as ASSIGNOR, has invented a new and useful

POPPET WITH A FLOW INCREASING ELEMENT FOR LIMITING MOVEMENT THEREOF IN A POPPET VALVE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on February 18, 1999; and

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR INC.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR's heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Thomas J. Hajek, Jr.
THOMAS J. HAJEK, JR.

18 FEB 99
Date

IN WITNESS OF the execution hereof by THOMAS J. HAJEK, JR. we have hereunto set our hands.

David P. Smith 18 FEB 99
Witness (1) (Date)

Address: 2806 GRAND PRAIRIE DR.

JOLIET, IL.
60431

Rory V. Mink 18 Feb 1999
Witness (2) (Date)

Address: 1948 Marlboro Lane #205

Crest Hill, IL 60435