

03-15-1999



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Attorney Docket No. 35907/179656

100982276

To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Florida State University

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Florida State University Research Foundation
Address: 100 Sliger Building Fuqua Center
Florida State University Technology Transfer
Tallahassee, Florida 32306-2763

Additional name(s) of receiving party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: February 16, 1999

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s):

B. Patent No.(s): 5,830,235

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

A. Jose Cortina
Kilpatrick Stockton LLP
4101 Lake Boone Trail, Suite 400
Raleigh, North Carolina 276076. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40.00☒ Enclosed
☒ Authorized any deficiencies to be charged to deposit account

8. Deposit account number: 16-1435

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*A. Jose Cortina
Name of Person SigningMarch 4, 1999
Date

03/11/1999 DNGUYEN 00000124 5830235

Total number of pages comprising cover sheet: 4

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Mail documents to be recorded with required cover sheet information to:
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For: ☒ U.S. and/or ☒ Foreign Rights
For: ☐ U.S. Application or ☒ U.S. Patent
For: ☐ Provisional Application
For: ☐ PCT Application
By: COMPANY

ASSIGNMENT OF APPLICATION (BY COMPANY)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuation consideration,

ASSIGNOR:

Florida State University
(type or print name of Assignee)

Florida
Nationality / Place of Incorporation

109 Morgan Building, 2035 E. Dirac Drive
Address

Tallahassee, Florida 32310

hereby sell, assign and transfer to

ASSIGNEE:

Florida State University Research Foundation
(type or print name of ASSIGNEE)

Florida
Nationality / Place of Incorporation

100 Sliger Building Fuqua Center
Florida State University Technology Transfer
Address

Tallahassee, Florida 32306-2763

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following:)

- ☒ the entire right, title and interest
☐ an undivided _____percent (____%) interest

for the United States and its territorial possessions

(check the following box if foreign rights are also to be assigned)

- ☒ and in all foreign countries, including all rights to claim priority

in and to any all improvements which are disclosed in the patent entitled:

Pacifier System and Method of Therapeutically Treating Infant Sucking Response

(check and complete either (a), (b), (c) or (d))

of which ASSIGNOR is the sole and exclusive owner of the by way of

- (a) ☒ the ASSIGNMENT executed on November 12, 1997 between ASSIGNOR
and *[list name(s) of Inventor(s) below:]*
Dr. Jayne M. Standley
- (b) ☒ which is recorded in the Patent and Trademark Office on November 18, 1997
at Reel 8889, Frame 0086;
- (c) ☐ which is attached and submitted herewith;

and which is found in

- (a) ☐ U.S. patent no. _____ filed on _____
☐ via Express Mail label no.: _____
Mailed on: _____
and executed on _____
(enter date of signing of declaration).

☒ To comply with 37 CFR 3.21 for recordal of this assignment, I, an
ASSIGNOR signing below, hereby authorize and request my attorney,
as named in the Declaration and Power of Attorney executed for this
invention on the execution date stated above, to insert above the filing
date and application number when it becomes known.

- (b) ☐ U.S. provisional patent application serial no. / _____ filed on _____
☐ via Express mail label no.: _____
Mailed on: _____
and executed on _____

(c) ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an
ASSIGNOR signing below, hereby authorize and request my attorney,
as named in the Declaration and Power of Attorney executed for this
invention on the execution date stated above, to insert above the filing
date and application number when it becomes known.

- (d) ☐ U.S. application serial no. / _____ filed on _____
- (e) ☒ U.S. patent no. 5,830,235 issued November 3, 1998

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(check (f) and/or (g) if foreign application(s) is also being assigned)

- (f) ☐ International application no. PCT/_____/_____ filed on _____
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, any other Letters Patent to be obtained by the above application which resulted in said Letter Patent, assigned herein or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said United States Patent to said ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and behoof; and for the use and behoof of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this Assignment and sale not been made.

IN WITNESS WHEREOF, ASSIGNOR has hereunto set hand and seal this 16th
day of February, 1999, at Florida State University
(enter location of signing of assignment).

Florida State University
(type name of COMPANY)

by: ~~Dr. Susan D. Allen~~ Dr. Raymond Bye, Jr.
(type name of Signatory)

Interim
Title: Vice President for Research

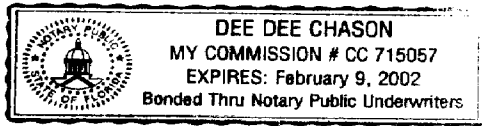
Raymond Bye Jr
Signature  CRF

NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

NOTE: Notarization/Legalization is not required for assignment and is only prima facie evidence of execution. 35 USC 261.

STATE OF Florida)
COUNTY OF Leon) ss.

On this 16th day of February, 1999, before me, a notary public, came the above-named Signatory to me, known and known to be the individuals described in and who executed the foregoing assignment, and duly acknowledged the same to be his/her/their free act and deed.



(SEAL)

Dee Dee Chason
Notary Public

My Commission expires: February 9, 2002

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