

RE

03-12-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks, please return the attached original documents or copy thereof.

1. Name of conveying party(ies):

James W. Early

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: February 26, 1999

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Address: Los Alamos National Laboratory

LC/BPL, MS D412

Los Alamos, NM 87545

City: Los Alamos

State/Prov.: NM

Country: US

ZIP: 87545

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: February 26, 1999

Patent Application No.

Filing date

B. Patent No.(s)

09/259749

Additional numbers

☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gemma Morrison Bennett

Registration No. 34,516

Address: Los Alamos National Laboratory

LC/BPL, MS D412

Los Alamos, NM 87545

City: Los Alamos

State/Prov.: NM

Country: US

ZIP: 87545

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00 €

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

12-2150 ✓

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gemma Morrison Bennett

Name of Person Signing

Gemma Morrison Bennett Feb. 26, 1999

Signature

Date

Total number of pages including cover sheet, attachments, and

3

PATENT

REEL: 9801 FRAME: 0321

ASSIGNMENT

DOE S-87,281

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR,

JAMES W. EARLY

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 300 Lakeside Drive, 21st Floor, Oakland, CA 94612-3550, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention titled:

OPTICAL FIBER SWITCH

and which is found in

- (a) X U.S. patent application executed on February 26, 1999, entitled as above and listing the above-named person(s) as inventor(s)
- (b) U.S. application Serial No. _____, filed on _____
- (c) U.S. Patent No. _____, issued _____
- (d) X U.S. provisional application filed on February 27, 1998, entitled as above and listing the above-named person(s) as inventor(s)

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

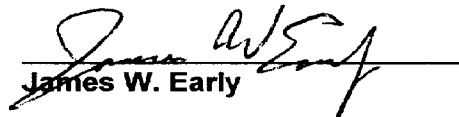
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California

executed this

26th day of February, 1999

Signature of Inventor


James W. Early