

03-12-1999

DOCKET: GE9-97-050

3-8-99



US Department of Commerce
Patent & Trademark Office

100980696

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Edgar Frohnmeyer
Klaus Rij
Stephan Spinzig
Irene Springer

Additional name(s) of conveying
party(ies) attached ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: International Business
Machines Corporation

Street Address: New Orchard Road
City: Armonk State: NY ZIP: 10504

Additional name(s) & address(es) attached?
☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

Execution Date: 2/19/99; 2/10/99; 2/19/99; 2/10/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/215,101

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom
correspondence concerning document
should be mailed:

Name: IBM Corporation
Internal
Address: Intellectual Property Law
Street
Address: 5600 Cottle Road (L2PA/0142)
San Jose, CA 95193

6. Total Number of applications and patents
involved: One

7. Total fee (37 CFR 3.41).....\$ 40.00
☐ Enclosed
☒ XX Authorized to be charged to
deposit account

8. Deposit Account Number: 09-0466

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

G. Marlin Knight

3-3-99

Date

Total number of pages including cover sheet, attachments, and document: 12

09215101

00000102 090466

40.00 CH

03/11/1999 JWR/KINS

105:34

PATENT

REEL: 9801 FRAME: 0965

INVENTOR
AND CITY

Whereas, we

(1) **Edgar Frohnmeyer** of D-55286 Worrstadt,
County of and State of Federal Republic of Germany,
and (2) **Klaus Rij** of D-55127 Mainz,
County of and State of Federal Republic of Germany,
and (3) **Stephan Spinzig** of D-55597 Wollstein,
County of and State of Federal Republic of Germany,
and (4) **Irene Springer** of D-65462 Gustavsburg,
County of and State of Federal Republic of Germany,

have invented certain improvements in

TITLE

CONTROL OF THE SURFACE ROUGHNESS OF MAGNETIC DISK

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

and executed, respectively, a United States patent application therefor on
(1) 02/19/, 1999, (2) 02/10/, 1999, (3) 19.02., 1999,
(4) 10. 2., 1999.

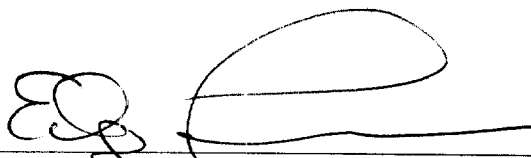
Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY
DATE

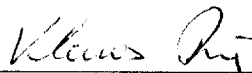
(1) at 02/19
on _____, 1999,


Edgar Frohnmeyer

SIGNATURE
INVENTOR

CITY
DATE

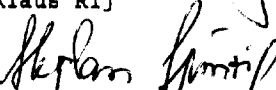
and (2) at 02/10
on _____, 1999,


Klaus Rij

SIGNATURE
INVENTOR

CITY
DATE

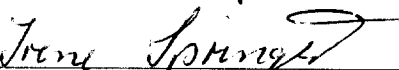
and (3) at 02/19
on _____, 1999,


Stephan Spinzig

SIGNATURE
INVENTOR

CITY
DATE

and (4) at 2/10/
on _____, 1999,


Irene Springer

SIGNATURE
INVENTOR