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- Execution Date
Month Day Year
02011999

Name (line 1) McAlice, Timothy J.

Name (line 2)

Second Party

Name (line 1) Johnson, James S.

Execution Date
Month Day Year
01131999

Name (line 2)

Receiving Party

- ☐ Mark if additional names of receiving parties attached

Name (line 1) United Technologies Corporation

Name (line 2)

Address (line 1) United Technologies Building

Address (line 2)

Address (line 3) Hartford

Connecticut

06101

City

State/Country

Zip Code

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February 15, 1999

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PATENT
REEL: 9803 FRAME: 0301

Correspondent Name and Address

Area Code and Telephone Number (860) 557-3163

Name Richard D. Getz

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Address (line 3) Mail Stop 132-13

Address (line 4) East Hartford, Connecticut 06108

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

4

Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/215,948

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

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Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

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Deposit Account

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Richard D. Getz

Name of Person Signing

Richard D Getz

Signature

15 Feb 99

Date

ASSIGNMENT

Serial No. 09/215,948
Filing Date December 18, 1998

WHEREAS, We Timothy J. McAlice and James Steven Johnson of Florida and Connecticut have invented certain improvements in Axi-Nozzle Ejector Seal identified as Attorney Docket No. F-7597, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(L.S.)

Timothy J. McAlice
Timothy J. McAlice

February 1, 1999

(L.S.)

James Steven Johnson
James Steven Johnson

January 13, 1999

State of Florida)

County of Palm Beach)

ss:

On this 1st day of February, 1999 ^{r.w.w.} ~~December, 1998~~, before me personally appeared Timothy J. McAlice to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.



Richard W. Whiting
MY COMMISSION # CC542550 EXPIRES
June 19, 2000
BONDED THRU TROY FAIN INSURANCE, INC.

Richard W. Whiting

Notary Public, State of Florida

{Seal}

MY COMMISSION EXPIRES: _____

State of Connecticut)

County of New Haven)

ss:

On this 13th day of January, 1999 ^{sup.} ~~December, 1998~~, before me personally appeared James Steven Johnson to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.

Edgar Baker, Jr.

Notary Public, State of Connecticut

{Seal}

MY COMMISSION EXPIRES: 05/31/2001