

03-15-1999

FORM PTO-1595
(Revised 6-93)



100981620

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:

Please record the attached original documents or copy thereof.

ATTORNEY DOCKET NO.: 05015.0163 (70738 US02)

1. Name of conveying party(ies): Charles E. Sumner, Jr., John D. Moncier, Mary K. Foster and Jeffrey S. Kanel

Additional names of conveying party(ies) attached?

☐ YES

☒ NO

*mkd
3-8-99*

2. Name and address of receiving party(ies):

Name: EASTMAN CHEMICAL COMPANY

Internal Address:

Street Address: 100 North Eastman Road

City: Kingsport

State: Tennessee

Zip: 37660

Additional name(s) and address(es) attached?

☐ YES

☒ NO

3. Nature of conveyance:

☒ Assignment (2 documents)

☐ Merger

☐ Other

☐ Security Agreement

☐ Change of Name

Execution Date: January 18, 1999 for both assignments

4. Application number(s) or patent number(s): 09/237,406 filed on January 26, 1999.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 09/237,406

B. Patent No.(s):

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Mitchell A. Katz
NEEDLE & ROSENBERG, P.C.
Suite 1200, The Candler Building
127 Peachtree Street, N.E.
Atlanta, Georgia 30303-1811
(404) 688-0770

6. Total number of applications and patents involved:
One

7. Total fee (37 CFR 3.41):

☒ Enclosed

☐ Authorized to be charged to Deposit Account.

40.00 \$ 40.00

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 14-0629.

8. Deposit account number: 14-0629

(Attach duplicate copy of this form if paying by deposit account)

PATENT
REEL: 9803 FRAME: 0352

03/11/1999 JSW:027 09000098 09237406

01 FCJSM

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mitchell A. Katz
Mitchell A. Katz
Reg. No. 33,919

March 4, 1999
Date

Total Number of Pages Including Cover Sheet, Attachments, and Document: 8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX ASSIGNMENT, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 4th day of March, 1999.

Mitchell A. Katz
Mitchell A. Katz

March 4, 1999
Date

ASSIGNMENT

For good and valuable consideration received, we, CHARLES E. SUMNER, JR., JOHN D. MONCIER, and MARY K. FOSTER, citizens of the United States of America, do hereby sell and assign to Eastman Chemical Company, a Delaware corporation having a place of business at Kingsport, Tennessee, its successors and assigns, the entire right, title, interest and priority rights in and to all of invention, discover and improvement disclosed in an application for Letters Patent for "METHODS FOR SEPARATING A TOCOL FROM A TOCOL-CONTAINING MIXTURE" executed by CHARLES E. SUMNER, JR., JOHN D. MONCIER, and MARY K. FOSTER, on the 18th day of January, 1999, and about to be filed in the United States Patent and Trademark Office, and all right, title and interest in and to Letters Patent and similar protective rights granted on said applications in the United States and other countries, as well as the right to claim any applicable priority rights arising from said applications under the terms of any applicable conventions, treaties, statutes or regulations, said applications to be filed and issued in the name of Eastman Chemical Company or its designee; and we agree to execute such documents as in the judgment of Eastman Chemical Company may be necessary to obtain any such patents and similar protective rights and vest and maintain the title thereto in Eastman Chemical Company or its designee; and we further agree that, upon request, but without expense to us, we shall furnish to Eastman Chemical Company or its designee any data, information, exhibits, memoranda, or other evidence in our possession relating to any of said inventions or improvements and to testify in any ex parte or inter parties legal proceedings relating to any of said inventions, discoveries, improvements, applications, patents or similar protective rights; and we authorize and request issuance of all Letters Patent and similar protective rights that may be granted on any of said applications, to the extent that and in such manner as such issuance shall be requested by Eastman Chemical Company or its designee. This

document shall be governed, construed, and interpreted in all respects in accordance with the laws of the State of Tennessee, USA.

IN WITNESS WHEREOF, I have executed this assignment this 18 day of January, 1999.

Charles E. Sumner, Jr. (L.S.)
CHARLES E. SUMNER, JR.

State of Tennessee

County of Sullivan

On this 18th day of January, 1999, personally appeared before me, a Notary Public in and for Sullivan County, CHARLES E. SUMNER, JR., to me known and known to me to be the person described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Becky Davis
Notary Public

My Commission Expires: 9/7/99

IN WITNESS WHEREOF, I have executed this assignment this 18 day of January, 1999.

John D. Moncier (L.S.)
JOHN D. MONCIER

State of Tennessee

County of Sullivan

On this 18th day of January, 1999, personally appeared before me, a Notary Public in and for Sullivan County, JOHN D. MONCIER, to me known and known to me to be the person described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Becky Lewis
Notary Public

My Commission Expires: 9/7/99

IN WITNESS WHEREOF, I have executed this assignment this 18 day of January, 1999.

Mary K. Foster (L.S.)
MARY K. FOSTER

State of Tennessee

County of Sullivan

On this 18th day of January, 1999, personally appeared before me, a Notary Public in and for Sullivan County, MARY K. FOSTER, to me known and known to me to be the person described in and who executed the foregoing instrument, and who acknowledged to me that she executed the same.

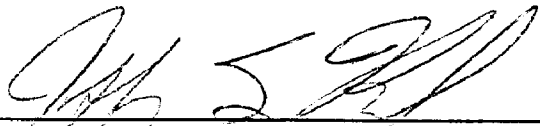
Betty Davis
Notary Public

My Commission Expires: 9/9/99

ASSIGNMENT

For good and valuable consideration received, I, JEFFREY S. KANEL, a citizen of the United States of America, do hereby sell and assign to Eastman Chemical Company, a Delaware corporation having a place of business at Kingsport, Tennessee, its successors and assigns, the entire right, title, interest and priority rights in and to all of invention, discover and improvement disclosed in an application for Letters Patent for "METHODS FOR SEPARATING A TOCOL FROM A TOCOL-CONTAINING MIXTURE" executed by JEFFREY S. KANEL, on the 18 day of January, 1999, and about to be filed in the United States Patent and Trademark Office, and all right, title and interest in and to Letters Patent and similar protective rights granted on said applications in the United States and other countries, as well as the right to claim any applicable priority rights arising from said applications under the terms of any applicable conventions, treaties, statutes or regulations, said applications to be filed and issued in the name of Eastman Chemical Company or its designee; and I agree to execute such documents as in the judgment of Eastman Chemical Company may be necessary to obtain any such patents and similar protective rights and vest and maintain the title thereto in Eastman Chemical Company or its designee; and I further agree that, upon request, but without expense to me, I shall furnish to Eastman Chemical Company or its designee any data, information, exhibits, memoranda, or other evidence in our possession relating to any of said inventions or improvements and to testify in any ex parte or inter parties legal proceedings relating to any of said inventions, discoveries, improvements, applications, patents or similar protective rights; and I authorize and request issuance of all Letters Patent and similar protective rights that may be granted on any of said applications, to the extent that and in such manner as such issuance shall be requested by Eastman Chemical Company or its designee. This document shall be governed, construed, and interpreted in all respects in accordance with the laws of the State of Tennessee, USA.

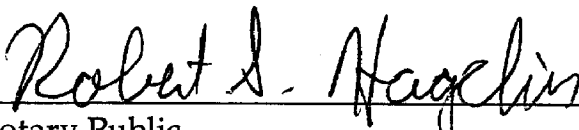
IN WITNESS WHEREOF, I have executed this assignment this 18 day of Jan, 1999.


_____(L.S.)
JEFFREY S. KANEL

State of WEST VIRGINIA

County of KANAWHA

On this 18th day of JANUARY, 1999, personally appeared before me, a Notary Public in and for KANAWHA County, JEFFREY S. KANEL, to me known and known to me to be the person described in and who executed the foregoing instrument, and who acknowledged to me that she executed the same.



Notary Public

My Commission Expires: MARCH 22, 2005

