

03-15-1999

at No.: ADAMS 8-9-25-168-17-8-26

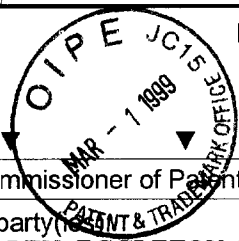
FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**ADAMS, LAURA ELLEN; EGGLETON, BENJAMIN JOHN; ESPINDOLA, ROLANDO PATRICIO; JIN, SUNGHO; MAVOORI, HAREESH; ROGERS, JOHN A., AND STRASSER, THOMAS ANDREW**

2. Name and address of receiving party(ies):

Name: LUCENT TECHNOLOGIES INC

Internal Address: P. O. BOX 636

Street Address: 600-700 MOUNTAIN AVENUE

City: MURRAY HILL State: NJ ZIP: 07974

Additional names(s) of conveying party(ies)  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: FEB. 15 & 17, 1999

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

09/237,124

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GLEN E. BOOKS, ESQ.

Internal Address: MATHEWS, COLLINS, SHEPHERD &

GOULD, P.A.

03/04/1999 TLUU11 00000102 122325 09237124

02 FC:581 40.00 CH

Street Address: 100 THANET CIRCLE, SUITE 306

City: PRINCETON State: NJ ZIP: 08540

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

12-2325

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

GLEN E. BOOKS, ESQ.

FEBRUARY 25, 1999

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 7

PATENT

REEL: 009804 FRAME: 0858

## ASSIGNMENT AND AGREEMENT

For value received, we, Laura Ellen Adams of Basking Ridge in the County of Somerset, Benjamin John Eggleton of Berkeley Heights in the County of Union and State of New Jersey, Rolando Patricio Espindola of Chatham in the County of Morris and State of New Jersey, Sungho Jin of Millington in the County of Morris and State of New Jersey, Hareesh Mavoori of Berkeley Heights in the County of Union and State of New Jersey, John A. Rogers of New Providence in the County of Union and State of New Jersey, and Thomas Andrew Strasser of Warren in the County of Somerset and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **METHOD OF MAKING OPTICAL CHIRPED GRATING WITH AN INTRINSICALLY CHIRPED GRATING AND EXTERNAL GRADIENT** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

I agree that, when requested, I shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Laura Ellen Adams  
Laura Ellen Adams

Date: 02/15/99

United States of America )

State of New Jersey ) ss.:

County of Union )

On this 15<sup>th</sup> day of February, 1999, before me personally came Laura Ellen Adams, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole  
Notary Public

**SHEILA R. COLE**  
A NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires 10/18/2000

Benjamin John Eggleton  
Benjamin John Eggleton

Date: 2-15-99

United States of America )

State of New Jersey ) ss.:

County )  
City of Union )

On this 15<sup>th</sup> day of February, 1999, before me personally came Benjamin John Eggleton, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R Cole  
Notary Public  
**SHEILA R. COLE**  
A NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires 10/18/2000

Rolando Patricio Espindola  
Rolando Patricio Espindola

Date: 2/15/98

United States of America )

State of New Jersey ) ss.:

County )  
City of Union )

On this 15<sup>th</sup> day of February, 1999, before me personally came Rolando Patricio Espindola, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole  
Notary Public  
**SHEILA R. COLE**  
A NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires 10/18/2000

Date: 2/15/99

Sungho Jin  
Sungho Jin

United States of America )

State of ) ss.:

County )  
City of Union )

On this 15<sup>th</sup> day of February, 1999, before me personally came Sungho Jin, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole  
**SHEILA R. COLE**  
Notary Public  
A NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires 10/18/2000

Date: 2/15/99

Hareesh Mavoori  
Hareesh Mavoori

United States of America )

State of New Jersey ) ss.:

County )  
City of Union )

On this 15<sup>th</sup> day of February, 1999, before me personally came Hareesh Mavoori, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole  
Notary Public

**SHEILA R. COLE**  
A NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires 10/18/2000

John A. Rogers  
John A. Rogers

Date: 2/17/99

United States of America )

State of New Jersey ) ss.:

County City of Union )

On this 17 day of February, 1999, before me personally came John A. Rogers, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Annabelle L. Short  
Notary Public

**ANNABELLE L. SHORT**  
**NOTARY PUBLIC OF NEW JERSEY USA**  
**My Commission Expires Jan. 20, 2000**

  
 \_\_\_\_\_  
 Thomas Andrew Strasser

Date: 2/15/99

United States of America            )  
 State of New Jersey                ) ss.:  
 County of Union                    )

On this 15<sup>th</sup> day of February, 1999, before me personally came Thomas Andrew Strasser, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
 \_\_\_\_\_  
 Notary Public

**SHEILA R. COLE**  
 A NOTARY PUBLIC OF NEW JERSEY  
 My Commission Expires 10/18/2001

Lucent Technologies Inc.  
 600 Mountain Avenue (Room 3C-512)  
 P. O. Box 636  
 Murray Hill, New Jersey 07974-0636