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U.S. Department of Commerce

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

Patent and Trademark Office

To the Honorable Commissione

100982408

Attached original documents or copy thereof.

1. Name of conveying party:

Gary B. Phillips

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: November 19, 1998

2. Name and address of receiving party(ies)

Name: Berlex Laboratories, Inc.Internal Address: Legal DepartmentStreet Address: 15049 San Pablo AvenueP.O. Box 4099City: Richmond State: California ZIP: 94804-0099Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.: 09/196,921

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Carol J. RothInternal Address: Legal DepartmentBerlex BiosciencesStreet Address: 15049 San Pablo AvenueP.O. Box 4099City: Richmond State: California ZIP: 94804-00996. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$40.00 E☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

02-2117

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct.

Carol J. Roth
Name of Person SigningCarol J. Roth 32,783
SignatureFebruary 26, 1999
DateTotal number of pages including cover sheet, attachments and document: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignment
Washington, D.C. 20231

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

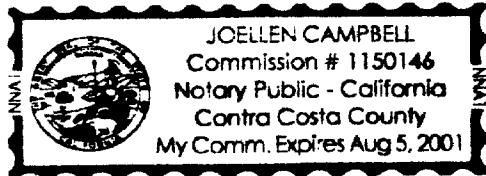
State of California

County of Contra Costa

On November 19, 1998 before me, Jo Ellen Campbell,
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Gary B. Phillips,
Name(s) of Signer(s)

☐ personally known to me – OR – ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Jo Ellen Campbell
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment

Document Date: 11/19/98 Number of Pages: 1

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: Gary Phillips

- ☒ Individual
☐ Corporate Officer
Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Whereas, I, Gary B. PHILLIPS of Pleasant Hill, California, have invented certain new and useful improvements (the invention) in

Polyhydroxylated Monocyclic N-Heterocyclic Derivatives as Anti-Coagulants

which is described in an application for Letters Patent of The United States of America executed by me on 19 November 1998.

And whereas, Berlex Laboratories, Inc., a corporation of Delaware, having an address at 15049 San Pablo Avenue, P.O. Box 4099, Richmond, California 94804-0099, is desirous of acquiring an interest therein and in the Letters Patent to be obtained therefore from the United States;

Now, therefore, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, Gary B. PHILLIPS, do hereby assign, sell and transfer unto Berlex Laboratories, Inc., for the territory of The United States of America and for all foreign countries, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, as fully set forth and described in the specification of the application for Letters Patent of the United States executed by us or in any continuation, division, reissue, reexamination or extension thereof and any legal equivalent thereof in a country foreign to the United States of America; said invention, application(s) and Letters Patent(s) to be held and enjoyed by Berlex Laboratories, Inc., for its own use and enjoyment, and for the use and enjoyment of its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by me had this assignment and sale not been made.

This assignment is effective as of 19 November 1998.

In witness whereof, I, Gary B. PHILLIPS, have signed and dated this Assignment.

11/19/98
Date

Gary B. Phillips
Gary B. PHILLIPS