

03-15-1999

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To the Honorable Commissioner of Patents and

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Documents or copy thereof.

1. Name of conveying party(ies)

Robert Hannon
Subramanian S. Iyer
Scott R. Stiffler
Kevin R. Winstelmlp
2-26-99

2. Name and address of receiving party(ies):

International Business Machines Corporation
New Orchard Road
Armonk, New York 10504

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Addit'l name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAddit'l. name(s) & address(es) attached? ☐ Yes ☒ No

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- ☐
- Other

Execution Date: 1/21/99

4. Application number(s) or patent number(s): 09/197,693

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Pollock, Vande Sande & Amernick
Suite 800
1990 M Street, N.W.
Washington State: D.C. Zip: 20036

6. Total number of applications and patents involved [1]

7. Total fee (37 CFR 3.41).....\$40.00
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Eric J. Franklin
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PATENT
REEL: 9806 FRAME: 0069

ASSIGNMENT

Whereas, We

- | | | |
|-----------|---------------------|---------------------------|
| (1) | Robert Hannon | City of Wappingers Falls |
| County of | Dutchess | and State of New York |
| (2) | Subramanian S. Iyer | City of Mt. Kisco |
| County of | Westchester | and State of New York |
| (3) | Scott R. Stiffler | City of Amenia |
| County of | Dutchess | and State of New York |
| (4) | Kevin R. Winstel | City of Hopewell Junction |
| County of | Dutchess | and State of New York |

have invented certain improvements in

Method For Filtering Dislocation In Merged SOI/DRAM Chips

and have executed, respectively, a United States patent application therefor on

- | | | |
|-----|---------------|---------|
| (1) | <u>Jan 21</u> | 199 9 . |
| (2) | <u>Jan 21</u> | 199 9 . |
| (3) | <u>Jan 21</u> | 199 9 . |
| (4) | <u>Jan 21</u> | 199 9 . |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents obtained therefor;

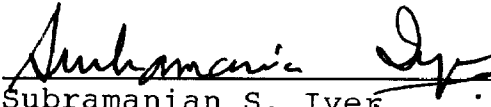
Now, therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights

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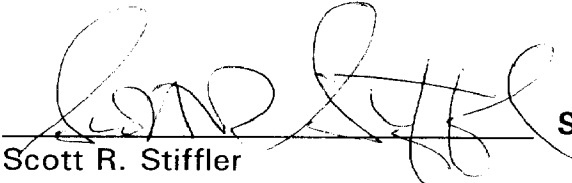
(1) Signed at EAST FISHKILL, NY

on 1/21/99  Signature
Robert Hannon

(2) Signed at EAST FISHKILL, NY

on 1/21/99  Signature
Subramanian S. Iyer

(3) Signed at EAST FISHKILL, NY

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Scott R. Stiffler

(4) Signed at EAST FISHKILL, NY

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