

03-16-1999

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Patent and Trademark Office



100985551

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1. Name of conveying party(ies):

Mark McElhinney
Paul Colombo

2. Name and address of receiving party(ies):

SPECTRACOM, INC.
4459 White Bear Parkway
White Bear Lake, Minnesota 55110

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: February 18, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/168,600

B. Patent No.(s)

40E

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Derek Vandenburg
Address: Merchant, Gould, Smith, Edell,
Welter & Schmidt, P.A.
3100 Norwest Center
90 South Seventh Street
Minneapolis, MN 55402-4131

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):

- ☐ Enclosed
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Name of Person Signing

Signature

March 9, 1999
Date

Total number of pages including cover sheet, attachments, and document: 6

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PATENT
REEL: 9807 FRAME: 0080

10-21-1998



100857885

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mark McElhinney
Paul Colombo

2. Name and address of receiving party(ies):

SPECTRACOM, INC.
4459 White Bear Parkway
White Bear Lake, Minnesota 55110

U.S. PTO
09/168600
10/08/98

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: Undated

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: October 8, 1998

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Derek Vandenburg
Address: Merchant, Gould, Smith, Edell,
Welter & Schmidt, P.A.
3100 Norwest Center
90 South Seventh Street
Minneapolis, MN 55402-4131

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00
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J. Derek Vandenburg

Name of Person Signing

Signature

October 8, 1998

Date

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10/19/1998 SBURMS 00000111 09168600

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PATENT
REEL: 9807 FRAME: 0081

ASSIGNMENT

WHEREAS, we, Paul Colombo, residing at 4900 Constellation Drive, St. Paul, Minnesota 55127 and Mark McElhinney, residing at 4800 Centerville Road, White Bear Lake, Minnesota 55110, made certain new and useful inventions and improvements for which we will file an application for Letters Patent of the United States, concurrently herewith, which is entitled SEMICONDUCTOR LASERS HAVING SINGLE CRYSTAL MIRROR LAYERS GROWN DIRECTLY ON FACET.

AND WHEREAS, SPECTRACOM, INC., a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having an office and place of business at 4459 White Bear Parkway, White Bear Lake, Minnesota 55110 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be

necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 18 day of PET, 1999



Paul Colombo

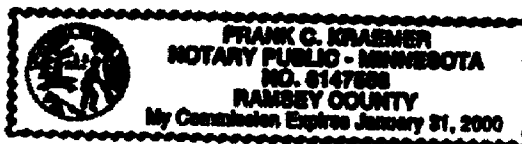
STATE OF MINNESOTA)
) ss.
COUNTY OF RAMSEY)

On this 1ST day of MARCH, 1999, before me personally appeared Paul Colombo to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

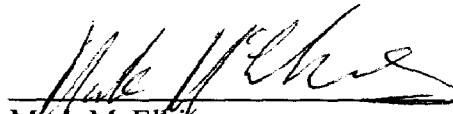


Notary Public



IN TESTIMONY WHEREOF, I have hereunto set my hand this 18TH day of

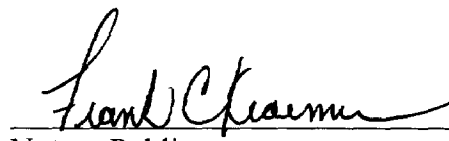
FEBRUARY, 1999.


Mark McElhinney

STATE OF MINNESOTA)
) ss.
COUNTY OF RAMSEY)

On this 18th day of FEBRUARY, 1999, before me personally appeared Mark McElhinney to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]


Notary Public

