

OMB No. 0651-0011 (exp. 4/94)

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To the Honorable Commissioner

100985567

original documents or copy thereof.

1. Name of conveying party(ies):

James Philip Taylor
William Walker
John Whitworth
Michael Heneghan

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: **Ciba Specialty Chemicals Corp.**
Internal Address: **P.O. Box 2005**
Street Address: **540 White Plains Road**
City: **Tarrytown** State: **NY** ZIP: **10591**

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: November 11, 1997

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or Patent number(s): 09/005,157 filed on January 9, 1998

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/005,157 filed on January 9, 1998

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **JoAnn Villamizar**
Ciba Specialty Chemicals Corp.
Internal Address: **Patent Department**
P.O. Box 2005
Street Address: **540 White Plains Road**
City: **Tarrytown** State: **NY** ZIP: **10591**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

03-1935

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin T. Mansfield
Name of Person Signing
Reg. No. 31,635

Kevin T. Mansfield
Signature

March 8, 1999
Date

Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

ASSIGNMENT

I / We James Philip TAYLOR of Cheshire SK10 2DT, Great Britain
William WALKER of Manchester M34 2FQ, Great Britain
John WHITWORTH of Manchester M34 5GG, Great Britain
Michael HENEGHAN of Salford M6 7QN, Great Britain

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Ciba Specialty Chemicals Corporation**, a Delaware corporation, of 540 White Plains Road, Tarrytown, NY 10591, USA, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:


PRODUCTION OF KETO ACIDS

invented by me/us and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Ciba Specialty Chemicals Corporation**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;


And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Ciba Specialty Chemicals Corporation**.


James Philip TAYLOR

11th November 1997
Date


William WALKER

11th November 1997


John WHITWORTH

11th November 1997


Michael HENEGHAN

11th November 1997