

03-16-1999



patents 0326-121

IN 100984605 AND TRADEMARK OFFICE
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U.S. PTO
09/262716
03/04/99

Dear Sir:

ME 3.4.99

Please record the attached original document a copy thereof.

1) Name of conveying party(ies):

Edmund Martinez, Jr.

2) Name and address of receiving party(ies):

Merrill, Lynch, Pierce, Fenner & Smith
New York, New York 10281

09/262716

3) The nature of the conveyance is an **assignment**, which was executed on 3/2/99.

4) This document is to be recorded against enclosed patent application.
The total number of patents and/or applications involved is 1.

Please send all correspondence regarding this paper to the firm address shown below.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Enclosed is a check in the amount of \$ 40.00.^E Please charge any additional fees required by this paper, and credit any overpayment, to the firm's Deposit Acct. No. 08-2776.

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Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Honorable Commissioner of Patents and Trademarks, BOX ASSIGNMENT, Washington, D.C. 20231

Juan C. Villar
Juan C. Villar
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Hopgood, Calimafde, Kalil,
& Judlowe
60 East 42nd Street
New York, NY 10165

on March 4, 1999

Name Heather A. McLennand

Heather A. McLennand
Signature

March 4, 1999

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REEL: 9807 FRAME: 0815

ASSIGNMENT

For value received we, ~~Kenneth Williams~~^J and Edmund Martinez, Jr., hereby sell, assign and transfer to MERRILL LYNCH AND CO., as assignee and its successors, assigns and legal representatives, the entire rights, title and interest, for all countries in and to certain inventions relating to **ASSET BALANCING SYSTEM** the specification of which is filed herewith in the United States Patent and Trademark Office, and all the rights and privileges under any and all Utility Patents that may be granted therefor.

We request that any and all patents for said inventions be issued to said assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers and take all rightful oaths for vesting title to and in said certain inventions in said assignee, its successors, assigns and legal representatives or nominees, and for reasonable compensation from said assignee if not currently employed by said assignee, do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said certain inventions in any and all countries.


We authorized and empower the said assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said invention filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, the Patent Cooperation Treaty, or any convention which may henceforth be substituted for them, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, the Patent Cooperation Treaty, or by any convention which may henceforth be substituted for them.

We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

~~Inventor: Kenneth Williams~~ 

~~Inventor's Signature:~~  _____

~~Date:~~  _____

Inventor: Edmund Martinez, Jr.

Inventor's Signature: 

Date: 3/2/99