

03-18-1999

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To the Honorable Commissioner of Pater

100986917

ied original documents or copy thereof.

Kenneth Wo-Wai AU
Kent Kuohua CHANG
David CHI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: March 2, 1999

Name: Advanced Micro Devices, Inc.

Internal Address:

Street Address: One AMD Place

P.O. Box 3453

City: Sunnyvale State: CA ZIP: 94088-
3453

Additional name(s) & address(es) attached? ☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is: March 2, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gregory Turocy

Internal Address:

Renner, Otto, Boisselle & Sklar, P.L.L.

Street Address: The Keith Building, 19th Floor

1621 Euclid Avenue

08/17/1999 JMT:IS 00000009 09283963
02 FC:50 City: Cleveland State Ohio ZIP: 44115

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

18-0988

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gregory Turocy

Name of Person Signing
Reg. No. 36,952

Signature

March 5 1999

Date _____

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments

PATENT

REEL: 9814 FRAME: 0792

ASSIGNMENT

For good and valuable consideration received of the hereinafter named assignee, receipt of which is hereby acknowledged, the undersigned

Kenneth Wo-Wai Au
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Fremont, California 94539

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Cupertino, California 95014

David Chi
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Sunnyvale, California 94087

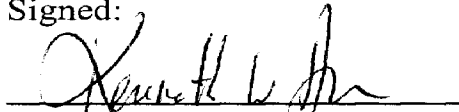
hereby sell and assign to ADVANCED MICRO DEVICES, INC., a corporation of Delaware having a place of business at One AMD Place, P.O. Box 3453, Sunnyvale, California 94088-3453, U.S.A., its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions thereof and all foreign countries, in and to the inventions entitled

**METHOD OF FORMING HIGH K TANTALUM PENTOXIDE TA₂O₅ INSTEAD OF
ONO STACKED FILMS TO INCREASE COUPLING RATIO AND IMPROVE
RELIABILITY FOR FLASH MEMORY DEVICES**

for which application for United States Letters Patent has been filed on _____ under Serial No. _____, or was executed by the undersigned on the date below and is being filed concurrently herewith, said application for United States Letters Patent, any and all other applications for Letters Patent on said inventions in countries foreign to the United States, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said applications and said Letters Patent; said assignee being hereby authorized to file patent applications in any or all countries on any or all said inventions in the name of the undersigned or in the name of said assignee or otherwise as said assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments being hereby authorized to issue or transfer all said Letters Patent to said assignee in accordance herewith; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right heretofore given, and that the undersigned will do all acts reasonably serving to assure that said inventions, patent applications and Letters Patent shall be held and enjoyed by said assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said assignee all

lawful documents including petitions, specifications, oaths, assignments, invention disclaimers, and lawful affidavits in form and substance which may be requested by said assignee, to furnish said assignee with all facts relating to said inventions or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may be useful for establishing the facts of conception, disclosure and reduction to practice of said inventions, and to testify in any proceedings relating to said inventions, patent applications and Letters Patent.

Signed:

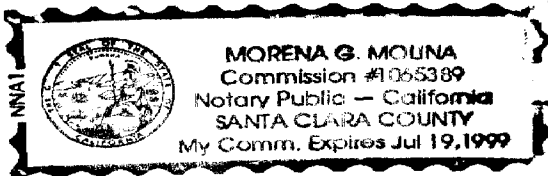


Kenneth Wo-Wai Au

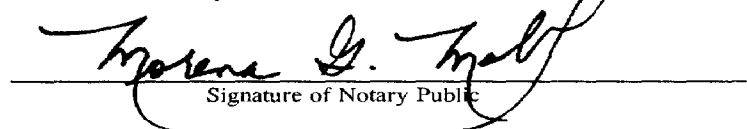
Date:

3/2/99**CERTIFICATE OF ACKNOWLEDGMENT**State of CaliforniaCounty of Santa ClaraOn March 2, 1999 before me, Morena G. Molina, Notary Public,
Name and Title of Officer (e.g., "Jane Doe, Notary Public")personally appeared Kenneth Wo-Wai Au
Name(s) of Signer(s)

☐ personally known to me - OR ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.


Signature of Notary Public

Signed:

Date:

Kent Kuohua Chang
Kent Kuohua Chang

3-2-99

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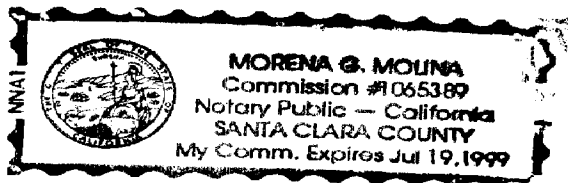
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County of Santa Clara

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personally appeared Kent Kuohua Chang
Name(s) of Signer(s)

☐ personally known to me - OR ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(~~ies~~), and that by his/~~her/their~~ signature(~~s~~) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Morena G. Molina
Signature of Notary Public

Signed:

Date:

David Chi
David Chi

3/2/99

CERTIFICATE OF ACKNOWLEDGMENT

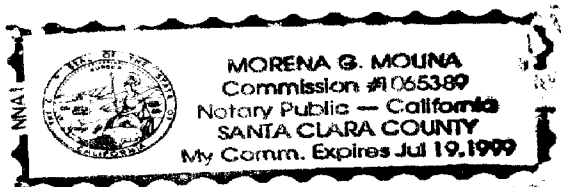
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County of Santa Clara

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personally appeared David Chi
Name(s) of Signer(s)

☐ personally known to me - OR ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(~~ies~~), and that by his/~~her/their~~ signature(~~s~~) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



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Morena G. Molina
Signature of Notary Public