

FORM PTO-1619A

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PATENT

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Assignment



License



Merger



Security Agreement



Change of Name



Other

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Conveying Party(ies)



Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1)

White River Concepts

02191999

Name (line 2)

Second Party

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party



Mark if additional names of receiving parties attached

Name (line 1)

Natus Medical Incorporated

If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment
of a domestic
representative is attached.
(Designation must be a
separate document from
Assignment.)

Name (line 2)

Address (line 1)

150 Industrial Road

Address (line 2)

Address (line 3)

San Carlos

CA

94070

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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PATENT

REEL: 9817 FRAME: 0460

Correspondent Name and Address

Area Code and Telephone Number (650) 493-9300

Name John Mao, Esq.

Address (line 1) Wilson Sonsini Goodrich & Rosati

Address (line 2) 650 Page Mill Road

Address (line 3) Palo Alto, CA 94304-1050

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

3

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

			<u>4,772,262</u>		

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

Number of Properties

Enter the total number of properties involved.

1 (one)

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ \$40.00

Method of Payment:
Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

23-2415

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John Mao

Name of Person Signing

John Mao

Signature

March 5, 1999

Date

GRANT OF SECURITY INTEREST

PATENTS

THIS GRANT OF SECURITY INTEREST, dated as of February 19, 1999, is executed by **White River Concepts**, a California corporation ("Company"), in favor of **Natus Medical Incorporated**, a California corporation ("Secured Party"), pursuant to that certain Security Agreement ("Agreement"), dated as of the date hereof, between Company and the Secured Party.

A. Pursuant to a Promissory Note, dated as of the date hereof, executed by Company for the benefit of Secured Party, Secured Party has agreed to extend certain credit facilities to Company upon the terms and subject to the conditions set forth therein.

B. Company has adopted, used and is using, or has a bona fide intent to use the patent (the "Patent"), more particularly described on Schedule A annexed hereto as part hereof, which patent is registered or subject to an application for registration in the United States Patent and Trademark Office;

C. Pursuant to the Agreement, Debtor has granted to the Secured Party a security interest in all right, title and interest in and to patent or technical process of Company in and to the Patent, together with any reissue, continuation, continuation-in-part or extension thereof, and all proceeds thereof, including any and all causes of action which may exist by reason of infringement thereof for the full term of the Patent (the "Collateral"), to secure the prompt payment, performance and observance of the Obligations (as defined in the Security Agreement);

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Company does hereby further grant to Secured Party a security interest in the Collateral to secure the prompt payment, performance and observance of the Obligations.

Company does hereby further acknowledge and affirm that the rights and remedies of Secured Party with respect to the security interest in the Collateral granted hereby are more fully set forth in the Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

Secured Party's address is:

NATUS MEDICAL INCORPORATED
150 Industrial Road
San Carlos, California 94070

IN WITNESS WHEREOF, Company has caused this instrument to be executed as of the day and year first above written.

WHITE RIVER CONCEPTS

By: 
Name: Kevin B. Morton
Title: Chief Executive Officer

SCHEDULE A

PATENTS

<u>Patent</u>	<u>Description</u>	<u>Date of Filing</u>
4,772,262	Soft Cup Funnel	September 20, 1988