

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents of Copy Hereby

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1. Name of conveying party(ies):  
**Mark Thompson, Yujian You, Andrei Shoustikov**

Additional name(s) of conveying parties attached? No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution date: **January 20, 1999 and February 26, 1999**

2. Name and address of receiving party(ies)

Name: **THE UNIVERSITY OF SOUTHERN CALIFORNIA**

The University of Southern California Park,  
Los Angeles, California 90089

Additional name(s) & address(es) attached? No

4. Application numbers or patent numbers:

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Applications

**09/053,030**

B. Patent No.(s)

Additional Numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Thomas F. Meagher**  
**KENYON & KENYON**  
One Broadway  
New York, New York 10004

6. Total number of applications and patents involved: **1**

7. Total fee (37 C.F.R. 3.41) ..... \$ **40.00**

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number:

**11-0600**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Ronald J. Campbell (Reg. No. 33,842)**

Name of Person Signing

Signature

Date

Total Number of pages comprising document and cover sheet: **6**

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

**Assistant Commissioner for Patents**  
**Box Assignments**  
**Washington DC 20231**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: **March 11, 1999**

Signature **Ronald J. Campbell**

Ronald J. Campbell (Reg. No. 33,842)

**ASSIGNMENT**

WHEREAS, I,

**THOMPSON, Mark**  
4447 Pepper Creek Way  
Anaheim, California 92807

**Citizenship: U.S.A.**

**SHOUSTIKOV, Andrei**  
1175 W. 29th Street, No. 8  
Los Angeles, California 90007

**Citizenship: Russia**

**YOU, Yujian**  
5367 San Vincenti Blvd. No. 232  
Los Angeles, California 90019

**Citizenship: China**

have made new and useful improvements in **ALUMINUM COMPLEXES BEARING BOTH ELECTRON TRANSPORTING AND HOLE TRANSPORTING MOIETIES**, for which an application for Letters Patent was filed on **April 1, 1998**, and

WHEREAS **THE UNIVERSITY OF SOUTHERN CALIFORNIA**, having a place of business at The University of Southern California Park, Los Angeles, California 90089, and who, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited,

NOW, THEREFORE, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservation:

1. Assign, transfer, and convey to Assignee my entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in any and all countries, including all divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under an International Convention or otherwise.

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of my entire right, title, and interest therein or otherwise as Assignee may direct.

4. Warrant that I have not conveyed to others any right, title, or interest in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; that I have good right to assign the same to Assignee without encumbrance; and that I am aware of no claim to the contrary.

5. Bind my heirs, legal representatives, and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of my heirs, legal representatives, or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries; and to testify to the same in any interference, arbitration, or litigation.

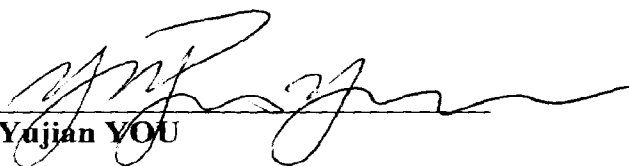
IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 20<sup>th</sup> day of January, 1999.

  
Mark THOMPSON

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 20<sup>th</sup> day of  
January, 1999.

  
\_\_\_\_\_  
Andrei SHOUSTIKOV

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 26 day of  
February, 1999.

  
Yujian YOU

Kenyon & Kenyon 1999

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