n1d 3-12-99

FORM PTO-1595 (Rev. 6-93) RECOR	03-22-1999	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office		
OMB No. 0651-0011 (exp. 4/94)		Property Small		
Tab settings	100989740	▼ ▼		
To the Honorable Commissioner of Patents a		original documents or copy thereof.		
Name of conveying party(ies):	<u> </u>	ess of receiving party(ies)		
Dow AgroSciences LLC	Name: Wiscon	sin Alumni Research Foundation		
Internal Address:		· · · · · · · · · · · · · · · · · · ·		
3. Nature of conveyance:				
△ Assignment □ Merger	Street Address:_	Street Address: 614 Walnut Street		
☐ Security Agreement ☐ Change	of Name			
☐ Other	City: Madison	State: WI ZIP: 53705		
Execution Date: 02/16/99	Additional name(s) 8	& address(es) attached? □ Yes & No		
4. Application number(s) or patent number(s):				
If this document is being filed together with a new	application, the execution date of	f the application is:		
A. Patent Application No.(s) 60/045,641 Filed 05/05/97 09/072,264 Filed 05/04/98 US98/08993 Filed 05/04/98	B. Patent No.(s			
5. Name and address of party to whom corresponder	nce 6 Total number of a	applications and patents involved:		
concerning document should be mailed:	inde o. Total Humber of a	applications and patents involved:		
Name: Wisconsin Alumni Research Found	dation 7. Total fee (37 CF	R 3.41)\$120.00		
Internal Address:	— □ Enclosed	-		
	———	be charged to deposit account		
Street Address: 614 Walnut Street	8. Deposit account	number: 23–2545		
City: Madison State: WI ZIP: 53	3705 (Attach duplicate cop	py of this page if paying by deposit account)		
DO NOT USE THIS SPACE				
9. Statement and signature. To the best of my knowledge and belief, the foregothe original document. To the property of the original document.	oing information is true and correc	t and any attached copy of		
Leslie Rector				
Name of Person Signing Signature Total number of pages including cover sheet, attachments, and document:				

ASSIGNMENT

(TRANSFER)

We, ASSIGNOR:

Dow AgroSciences LLC

of:

9330 Zionsville Road Indianapolis, Indiana 46268 United States of America

a Delaware limited liability company organized under the laws of the State of Delaware, United States of America, do hereby claim and declare that we are owners of the following Patent Application(s) or Letters Patent(s) and other rights and powers obtainable or exercisable in respect thereof: United States Provisional Patent Application No. 60/045,641 filed on May 5, 1997, entitled "INSECTICIDAL PROTEIN TOXINS FROM XENORHABDUS", United States Patent Application No. 09/072,264 filed August May 4, 1998, entitled "INSECTICIDAL PROTEIN TOXINS FROM XENORHABDUS", and International Patent Application No. PCT/US98/08993 filed May 4, 1998, entitled "INSECTICIDAL PROTEIN TOXINS FROM XENORHABDUS".

We do hereby, for good and valuable consideration received, sell, assign and transfer unto:

ASSIGNEE:

Wisconsin Alumni Research Foundation

of:

614 Walnut Street

Madison, Wisconsin 53705 United States of America

a non-stock, non-profit corporation organized under the laws of the State of Wisconsin, United States of America, our entire right, title and interest in and to the said Patent Application(s) or Letters Patent(s), including all rights to claim priority under all international conventions and treaties in the name of the Assignee and all rights in and to any corresponding Patent Application(s) or Letters Patent(s) for the invention in any and all countries throughout the world, and consent to the recordal of this Assignment.

ASSIGNOR ASSIGNEE

Subscribed and Sworn by us:

At Indianapolis, Indiana 46268 USA

this 16 day of February 1999 Effective as of May 4, 1997

Dow AgroSciences LLC

Kenneth L. Loertscher General Patent Counsel and

Assistant Secretary

Accepted by us:

At Madison Wisconsin 53705 USA

this / day of Feb 1995 Effective as of May 4, 1997

Wisconsin Alumni Research Foundation

Effective as of wlay 4, 1997

Carl E. Gulbrandsen

Director of Patents & Licensing

PATENT

REEL: 009820 FRAME: 0824

NOTARIAL CERTIFICATE (Delaware limited liability company)

UNITED STATES OF AMERICA)	
STATE OF INDIANA)	SS
County of Marion)	

On this <u>lbt</u> day of February, 1999, personally appeared before me,

Kenneth L. Loertscher,

General Patent Counsel and Assistant Secretary of

Dow AgroSciences LLC, a Delaware limited liability company

known or identified to me to be the individual(s) described in and who executed the foregoing document

Transfer Assignment

and acknowledged the same as a free act and deed for uses and purposes therein expressed by authority of the said limited liability company under the laws of the State of Delaware, United States of America. I further certify that said authority, dated, October 23, 1991, is based on a Resolution of the Board of Directors of the said limited liability company

which I have seen, appointing said individual Attorney-in-Fact with full power to sign the foregoing document on behalf of the said limited liability company and that the purposes for which said document is granted are within the scope of the objects or activities of said limited liability company.

Notary Public Lydia S. Owens

residing in Hendricks County

My commission expires March 5, 2000

NOTARIAL SEAL

PATENT REEL: 009820 FRAME: 0825

NOTARIAL CERTIFICATE

UNITED STATES OF AMERICA)	
STATE OF WISCONSIN)	SS
County of Dane)	

On this 19th day of February, 1999, personally appeared before me,

Carl E. Gulbrandsen
Director of Patents and Licensing
Wisconsin Alumni Research Foundation

Known or identified to me to be the individual(s) described in and who executed the foregoing document

Transfer Assignment

and acknowledged the same as a free act and deed for uses and purposes therein expressed by authority of the said non stock, non-profit corporation under the laws of the State of Wisconsin, United States of America. I further certify that said authority, dated October 3 and 4, 1997, is based on a Resolution of the Board of Trustees of the said non stock, non-profit corporation which I have seen, appointing said individual Attorney-in-Fact with full power to sign the foregoing document on behalf of the said non stock, non-profit corporation and that the purposes for which said document is granted are within the scope of the objects or activities of said non stock, non-profit corporation.

Notary Public

Paulanne Chelf

residing in Dane County

My commission expires January 5, 2003

NOTARIAL SEAL

RECORDED: 03/12/1999

PATENT REEL: 009820 FRAME: 0826