

03-24-1999



Atty. Docket No.: 171-622P
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RECORDATION FORM COVER SHEET

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

JC526 U.S. PTO
09/26/219
03/12/99

1. Name of conveying party(ies):
YAMAYA, Masaaki; FURUYA, Masahiro; KIZAKI, Hiroaki and YAMAMOTO, Akira
3-12-99
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)
Name: **Shin-Etsu Chemical Co., Ltd.**
Internal Address:
Street Address: **6-1, Ohtemachi 2-chome, Chiyoda-ku**
City: **Tokyo** State: ZIP:
Country: **Japan** Postal Code:
Additional name(s) & address(es) attached? Yes No

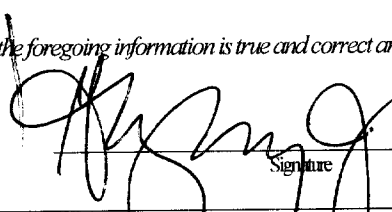
3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other:
Execution Date: **February 9, 1999**

4. Application number(s) or patent number(s): **New**
If this document is being filed together with a new application, the execution date of the application is: **February 9, 1999**
A. Patent Application No(s). B. Patent No(s).
Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: **BIRCH, STEWART, KOLASCH & BIRCH, LLP**
Street Address: **P.O. BOX 747**
City: **FALLS CHURCH** State: **VA** ZIP: **22040-0747**
Country: **USA**

6. Total number of applications and patents involved: **1 (One)**
7. Total fee (37 C.F.R. § 3.41): **\$40.00**
 Enclosed
 Authorized to be charged to deposit account
8. Deposit account number: **02-2448**
(Attach triplicate copy of this page if paying by deposit account)

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9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document
03/22/1999 JARTIS 00000007 09267219
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Gerald M. Murphy, Jr./28,917 **10.00 GP**
Name of Person Signing/Reg. No.  Signature March 12, 1999 Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, DC 20231

PATENT
REEL: 9830 FRAME: 0634

ATTORNEY DOCKET NO.

171-622P

ASSIGNMENT

Application No. New

Filed 3/12/99

**Insert Name(s)
of Inventor(s)** ➡

WHEREAS, Masaaki YAMAYA, Masahiro FURUYA, Hiroaki KIZAKI and

Akira YAMAMOTO of

Usui-gun, Gunma-ken, Japan, Usui-gun, Gunma-ken, Japan,

Usui-gun, Gunma-ken, Japan and Usui-gun, Gunma-ken, Japan

(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in _____

**Insert Title
of Invention** ➡

Silicone Resin-Containing Emulsion Composition, Making Method, and

Article Having Cured Film of the Composition

for which an application for Letters Patent of the United States of America has been executed by the undersigned

**Insert Date
of Signing of
Application** ➡

on February 9, 1999; and

**Insert Name
of Assignee** ➡

WHEREAS, Shin-Etsu Chemical Co., Ltd.

**Insert Address
of Assignee** ➡

of 6-1, Ohtemachi 2-chome, Chiyoda-ku, Tokyo, Japan

**CHECK BOX
IF APPROPRIATE** ➡

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents does sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries;

and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof or Letter Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States of America patent(s) or a grant of a valid United States of America and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patents resulting from said application or any continuing, divisional or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date February 9, 1999 Name of Inventor Masaaki Yamaya
 (signature) Masaaki YAMAYA

Date February 9, 1999 Name of Inventor Masahiro Furuya
 (signature) Masahiro FURUYA

Date February 9, 1999 Name of Inventor Hiroaki Kizaki
 (signature) Hiroaki KIZAKI

Date February 9, 1999 Name of Inventor Akira Yamamoto
 (signature) Akira YAMAMOTO

Date _____, Name of Inventor _____
 (signature)

Date _____, Name of Inventor _____
 (signature)