

A/D 581-40

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To the Honorable Commissioner of Patents and

MKD 10-9-98

1. Name of conveying party(ies):
Hikosaburo HIRAKI, Haruhiro TSUBOTA and Kazutoshi HORI

Additional name(s) of conveying party(ies) attached? _ Yes No

3. Nature of conveyance:
 Assignment _ Merger
_ Security Agreement _ Change of Name
_ Other

Execution Date: September 28, September 28, and September 21, 1998, respectively

2. Name and Address of receiving party(ies):
Name: KOMATSU LTD.
Internal Address:
Street Address: 3-6, Akasaka 2-chome,
Minato-ku,
City: Tokyo State: JAPAN ZIP: 107

Additional name(s) & address(es) attached? _ Yes No

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: September 28, September 28, and September 21, 1998, respectively

A. Patent Application No.(s)

B. Patent No.(s)

09/171071

Additional numbers attached? _ Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: SIDLEY & AUSTIN
Internal Address: Attn: James W. Williams

Street Address: 717 North Harwood
Suite 3400
City: Dallas State: TX ZIP: 75201-6507

6. Total Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

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James W. Williams
Name of Person Signing

James W. Williams
Signature

October 9, 1998
Date

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ASSIGNMENT

In consideration of the sum of One Dollar (U.S. \$1.00) in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned,

Hikosaburo HIRAKI, Haruhiro TSUBOTA and Kazutoshi HORI

hereby sells, assigns and transfers to KOMATSU LTD., a corporation duly organized under the laws of Japan, and having a place of business at 3-6, Akasaka 2-chome, Minato-ku, Tokyo 107 Japan, (hereinafter called Assignee) the entire right, title, and interest in and to any and all improvements which are disclosed in the application for United States Letters Patent entitled

CRAWLER DEVICE FOR A CRAWLER TYPE VEHICLE

which application was executed by the undersigned on

the 28 day of Sept., 1998;
the 28 day of Sep., 1998; and
the 21 day of September, 1998; respectively,

including said application and any and all divisions, continuations, and substitutes thereof and any and all United States Letters Patents which may be granted therefor and any and all extensions, reissues, renewals or reexaminations thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property.

It is hereby authorized and requested that the Commissioner of Patents issue any and all of said Letters Patent, when granted, to said Assignee.

Further, it is agreed that, when requested, without charge to but at the expense of said Assignee, the undersigned will execute all divisional, continuing, substitute, renewal, and reissue patent applications; execute all rightful other papers; and generally do everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection.

Signed at Kawasaki-shi, JAPAN, the 28 day
of Sept., 1998.

Hikosaburo Hiraki
Hikosaburo HIRAKI

Signed at Kawasaki-shi, JAPAN, the 28 day
of Sep., 1998.

Haruhiro Tsubota
Haruhiro TSUBOTA

Signed at Hirakata-shi, JAPAN, the 21 day
of September, 1998.

Kazutoshi Hori
Kazutoshi HORI