1	FORM PTO-1595 RECORDATION FO	ORM COVEF 03-26-1999 COMMERCE
	ОМВ No. 0651-0011 (ехр. 4/94)	
	Tab settings	
06 CC.	1. Name of conveying party(ies)	2. Name and address of receiving party(les).
	Donald E. BUSCH	Name: <u>The University of Chicago</u>
	George R. FENSKE	internal Address:
	Additional name(s) of conveying party(ies) attached? X Yes No	
	3. Nature of conveyance:	
\sim	X Assignment Merger	Street Address: 5801 South Ellis Avenue
	Security Agreement Change of Name	Street Address. Soor South Emis Avenue
		Chicago and II 715 60627
	Other	City: <u>Chicago</u> State: <u>IL</u> ZIP: <u>60637</u>
	Execution Date: January 13, 1999	Additional name(s) & address(es) attached? 🛛 Yes 🔲 No
	4. Application number(s) or patent number(s): If this document is being filed together with a new application A. Patent Application No.(s) 09/213,551	n, the execution date of the application is: B. Patent No.(s)
	Additional numbers attached? Yes X No	
	Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
	Name: Joseph G. Curatolo	7. Total fee (37 CFR 3.41) ♠ <u>40.00</u>
	Internal Address: <u>Renner, Kenner, Greive, Bobak,</u>	X Enclosed
	Taylor & Weber	X Authorized to be charged to deposit account
	Street Address: 24500 Center Ridge Road, Suite 280	8. Deposit account number:
	City: Westlake State: OH ZIP: 44145	18-0987 (Attach duplicate copy of this page if paying by deposit account)
0. Statement and signature		
	9. Statement and signature. To the best of my knowledge and belief, the foregoing inform	ation is true and correct and any attached copy is a true copy of
	the original document. Joseph G. Curatolo (Reg. No. 28,837) Name of Person Signing Total number of pages including cover sheet, attachments, and document: Total number of pages including cover sheet, attachments, and document:	
Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 2021		
03/25/1999 JSHABAZZ 00000256 09213051 (Form PTO1595-Recordation Form Cover Sheet - page 1 of 3)		
01 FC:581 40.00 OP		
PATENT REFL: 9834 FRAME: 0343		

REEL: 9834 FRAME: 0343

Attachment to Form PTO - 1595 Recordation Form Cover Sheet

Additional Conveying Parties

- Sam LEE
- Gary SHEPHERD
- Gary PRUETT

Additional Receiving Parties

- SGL Carbon Composites, Inc.
- -
- 1600 W. 135th Street
- Gardena
- CA
- 90249-2506

Additional Execution Dates

- March 11, 1999

ŗ.

ASSIGNMENT

We, Ali Erdemir of Naperville, Donald E. Busch of Hinsdale, and George R. Fenske of Downers Grove, all in the County of DuPage, the State of Illinois, for good and valuable considerations to us in hand paid, the receipt and sufficiency whereof is hereby acknowledged, have sold, assigned, conveyed and set over, and do hereby sell, assign, convey and set over unto THE UNIVERSITY OF CHICAGO, an Illinois corporation, organized under the laws of the State of Illinois, having a place of business at 5801 South Ellis Avenue, Chicago, Illinois 60637, its successors and assigns, our entire right, title and interest in and to all subject matter invented or designed by us and disclosed in the patent application for ULTRA LOW FRICTION CARBON COMPOSITES FOR EXTRME TEMPERATURE APPLICATIONS, executed on even date, which bears file number HTI 8075 and which is a continuation in part of U.S. Serial No. 08/791,674, filed on January 30, 1997, and any divisions and continuations thereof and in and to all Letters Patent of the United States, including any reissues and extensions thereof that may be obtained therefore, and the right, where such right can be legally exercised, in its own name to apply for and obtain Letters Patent, Inventor's Certificates, Utility Models and Designs, in countries foreign to the United States, including the full right to claim for any such application the benefits of the International Convention as fully and entirely as we could have done if the application had been in our names, and our entire interest in any Letters Patent, Inventors Certificate, Utility Model or Design which may be granted on any such application in such foreign country; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue Letters Patent, Inventors Certificates, Utility Models or Designs, on applications as aforesaid, to issue any and all Letters Patents, Inventor's Certificates, Utility Models and Designs that may be granted for said invention to said UNIVERSITY OF CHICAGO, its successors and assigns.

And for the above-named considerations, we hereby agree that we will without additional compensation but without cost to ourselves, promptly communicate to said UNIVERSITY OF CHICAGO, its successors and assigns, any facts known to us respecting said invention whenever requested, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications for Letters Patents, Inventor's Certificates, Utility Models and Designs and assignments thereof, make all rightful oaths and generally do everything possible to aid said assignee, its successors and assigns, as and when requested by them, in obtaining and enforcing proper Letters Patents, Inventor's Certificate, Utility Model and Design protection for said invention or inventions and improvements, in the United States and in all other countries.

Dated: 1/13/99

Dated: <u>/-/3 - 9 9</u>

Dated: <u>1-13-99</u>

STATE OF ILLINOIS)) ss COUNTY OF DU PAGE) Ali Edning Ali Edning Donald E. Busch Donald E. Busch Lience R. Fenske

On this 13^{44} day of $J_{anulary}$ 1998, before me a Notary Public in and for the County and State aforesaid, appeared the above named persons, who are personally known to me to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that they executed same instrument as their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

James CO. Wenchengen Notary Public



P

2

PATENT REEL: 9834 FRAME: 0346

HTI 8075

ASSIGNMENT

We, Sam Lee, residing in the City of Gardena, the State of California, Gary Shepherd, residing in the City of Los Alamitos, the State of California, and Gary J. Pruett, residing in the City of Cypress, the State of California, respectively, have invented certain new and useful improvements ULTRA LOW FRICTION CARBON/CARBON COMPOSITES FOR EXTREME TEMPERATURE APPLICATIONS and SGL Carbon Composites, Inc., a corporation organized and doing business under the laws of the State of Delaware, having a place of business at SGL Carbon Composites, Inc., 1600 W. 135th Street, Gardena, California 90249-2506, desires to acquire the rights to this invention.

In consideration of one dollar, receipt and sufficiency of which is hereby acknowledged, and other good and valuable considerations, we, Sam Lee, Gary Shepherd, and Gary J. Pruett hereby sell, assign, and transfer to SGL Carbon Composites, Inc., its successors and assigns, our entire right, title, and interest in and to this invention relating to ULTRA LOW CARBON/CARBON COMPOSITES FOR EXTREME FRICTION **TEMPERATURE** APPLICATIONS and any improvements thereon; the so-entitled application for United States Letters Patent which was executed on even date, and bears file number HTI 8075 and which is a continuation in part of U.S. Serial No. 08/791,674 filed January 30, 1997; any and all continuations, continuations-in-part, divisions and renewals thereon; all reissues or extensions thereof; and any and all Letters Patents on said invention, and applications therefor, in any and all countries foreign to the United States of America.

We hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all United States Letters Patent on said invention and application, or applications resulting therefrom, to SGL Carbon Composites, Inc., as assignee of our entire right, title, and interest.

Page 1 of 2

p -

PATENT REEL: 9834 FRAME: 0347 We further agree that during the subsequent to our employment with SGL Carbon Composites, Inc., on request and without further consideration, but at the sole expense of SGL Carbon Composites, Inc., we will with respect to this invention and this application or any subsequent application on this invention communicate to counsel for SGL Carbon Composites, Inc., its successors and assigns all facts known to me; testify in all legal proceedings; sign all lawful papers; execute all applications; make all rightful oaths; generally do everything possible to aid SGL Carbon Composites, Inc., it successors and assigns to obtain and enforce lawful patent protection for said invention in the United States and countries foreign thereto; and vest our entire right, title and interest in and to said invention and any and all applications for letters patent therefor and letters patent thereon in SGL Carbon Composites, Inc., its successors and assigns.

Executed this <u>1</u> day of <u>March</u>, 199<u>9</u>.

Witnesses:

(Signature)

Sam Lee Gary Shepherd

Gary J. Pruett

Page 2 of 2

RECORDED: 03/18/1999