

RECORDATION
PAT

03-29-1999



Attorney Docket Number
9284-055

TO THE HONORABLE COMMISSIONER
OF PATENTS & TRADEMARKS

Box Assignment
Washington, DC 20231

100995212

Please record the attached original documents or copy thereof.

3/18/99

1. Name of conveying party(ies):

Chandrasekar Balasubramaniam, Ravi Kannan,
Siddaraya Basappa Revashetti, Srivats Sampath,
Babu Katchapalayam

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: February 16, 1999; February 19, 1999

2. Name and address of receiving party(ies):

Name: Network Associates, Inc.

Address: 3965 Freedom Circle
Santa Clara, California 95054

Country (if other than USA): _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/248,115

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

PENNIE & EDMONDS LLP
1667 K Street, N.W.
Washington, D.C. 20006

6. Number of applications
and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00
Please charge to the deposit account listed in
Section 8.

8. Deposit account number:
16-1150

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nanda K. Alapati 39,893
For: Francis E. Morris 24,615

Name of Person Signing Reg. No.

Signature

Nanda K. Alapati

March 18, 1999
Date

Total number of pages including cover sheet:

7

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 20231

PATENT

REEL: 9837 FRAME: 0017

DC1 - 215459.1

ASSIGNMENT

WHEREAS, WE, Chandrasekar Balasubramaniam, Ravi Kannan, Siddaraya Basappa Revashetti, Srivats Sampath, and Babu Katchapalayam, ASSIGNORS, citizens of the United States, residing at 825 East Evelyn Avenue, #640, Sunnyvale, California 94086; 825 East Evelyn Avenue, #439, Sunnyvale, California 94086; #1385, "YESHWANT", Chandan Nagar, Pune - 411014, Maharashtra, India; 5440, Arezzo Dr., San Jose, California 95138; and Babu Katchapalayam, 2655 Keystone Avenue, #21, Santa Clara, California 95051 are the inventors of the invention in METHOD AND APPARATUS FOR SECURING SOFTWARE DISTRIBUTED OVER A NETWORK for which we have executed an application for a Patent of the United States

- ☒ which is executed on even date herewith
- ☒ which is identified by Pennie & Edmonds LLP docket no. 9284-055
- ☐ which was filed on , Application No.

and WHEREAS, Network Associates, Inc., ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date <u>2/16/99</u> , 1999	<u>B. Chandrasekar</u>	L.S.
	Chandrasekar Balasubramaniam	
Date <u>2/16/99</u> , 1999	<u>Ravi Kannan</u>	L.S.
	Ravi Kannan	
Date <u>2/16/99</u> , 1999	<u>Siddaraya</u>	L.S.
	Siddaraya Basappa Revashetti	
Date <u>02/16/99</u> , 1999	<u>Srivats</u>	L.S.
	Srivats Sampath	
Date <u>02/19/99</u> , 1999	<u>Babu Katchapalayam</u>	L.S.
	Babu Katchapalayam	

State of _____)
County of _____) SS.:

On this _____ day of _____, 1999, before me, a Notary Public in and for the State and County aforesaid, personally appeared Chandrasekar Balasubramaniam, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public.

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

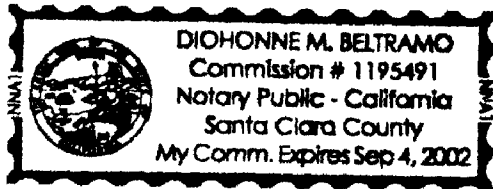
State of California

County of Santa Clara } ss.

On 3/9/99, before me, Dionhonne M. Beltramo, Notary Public
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Srivats Sampath
Name(s) of Signer(s)

☒ personally known to me
☐ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Dionhonne M. Beltramo
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment

Document Date: 2/14/99 Number of Pages: 1

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: Srivats Sampath

- ☒ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: Network Associates, Inc.



CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Santa Clara

SS.

On 3/9/99, before me, Diononne M. Beltramo, Notary Public

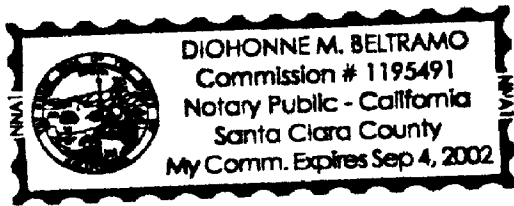
Date

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Siddharaya Basappa Revashetti

Name(s) of Signer(s)

- ☒ personally known to me
☐ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Diononne M. Beltramo

Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment

Document Date: 2/16/99

Number of Pages: 1

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: Siddharaya Basappa Revashetti

- ☒ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: Network Associates, Inc.

RIGHT THUMBPRINT
OF SIGNER

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CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

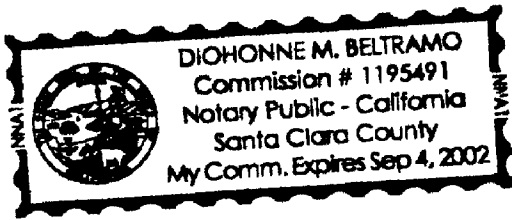
State of California

County of Santa Clara } ss.

On 3/9/99, before me, Dionhonne M. Beltramo, Notary Public
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Ravi Kannan
Name(s) of Signer(s)

☒ personally known to me
☐ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Dionhonne M. Beltramo
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

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Description of Attached Document

Title or Type of Document: Assignment

Document Date: 2/11/99 Number of Pages: 1

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: Ravi Kannan

- ☒ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: Network Associates, Inc.

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

Santa Clara

SS.

On 3/9/99

Date

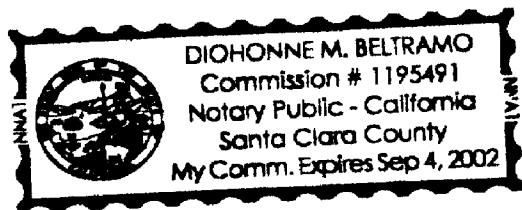
, before me, Diohonne M. Beltramo, Notary Public

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Chandrasekar Balasubramaniam

Name(s) of Signer(s)

☒ personally known to me
☐ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Place Notary Seal Above

Diohonne M. Beltramo

Signature of Notary Public

OPTIONAL

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Description of Attached Document

Title or Type of Document: Assignment

Document Date: 2/10/99

Number of Pages: 1

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: Chandrasekar Balasubramaniam

☒ Individual

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: Network Associates, Inc.

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Santa Clara } ss.

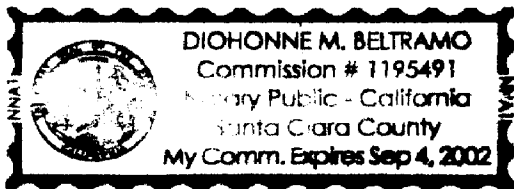
On 3/9/99
Date

, before me, Diohonne M. Beltramo, Notary Public
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared

Babu Katchapalayam
Name(s) of Signer(s)

- ☒ personally known to me
☐ proved to me on the basis of satisfactory evidence



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WITNESS my hand and official seal.

Diohonne M. Beltramo
Signature of Notary Public

Place Notary Seal Above

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Description of Attached Document

Title or Type of Document: Assignment

Document Date: 2/19/99

Number of Pages: 1

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: Babu Katchapalayam

☒ Individual

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: Network Associates, Inc.

RIGHT THUMBPRINT
OF SIGNER

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