

03-29-1999

FORM PTO 1595  
1-31-92

MAR 02 1999

RECC

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patent

100995286

Documents or copy thereof.

## 1. Name of conveying party(ies):

Lionel Monty Levinson  
Alok Mani Srivastava

## 2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address:

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 2/11/99; 2/18/99

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application

i: 2/11/99; 2/18/99

## A. Patent Application No.(s)

09/203,206

## B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company

Internal Address: CRD Patent Docket Rm. 4A59

Bldg. K-1, Salamone

P.O. Box 8

Street Address: One Research Circle

City: Schenectady State: NY ZIP: 12301

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

07-0868

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Tyler Maddy

Name of Person Signing

Signature

FEB. 23, 1999

Total number of pages including cover sheet, attachments and document

3

OMB No. 0651-0011 (exp 4/94)

Do Not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

03/09/1999 T10011 00000001 070868 09203206

02 FC:581

40.00 CH

# ASSIGNMENT

Docket Number  
RD-25,572

In consideration of One Dollar and Other good and valuable consideration, of which I acknowledge receipt, I (we)

Lionel Monty Levinson  
Alok Mani Srivastava

of Niskayuna, New York  
of Schenectady, New York  
of  
of  
of  
of  
of  
of  
of  
of  
of  
of  
of  
of

sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

Light Emitting Device With Phosphor Having High Luminous Efficacy

invented by me (us), as described in the application for United States patent

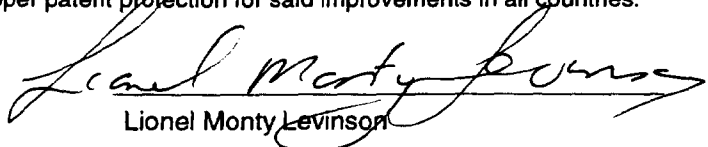
☐ executed concurrently herewith, or

☒ U.S. Serial No.: 09/203,206, filed 11/30/98

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

11 Feb 1999

Date

  
Lionel Monty Levinson

State of New York) SS:

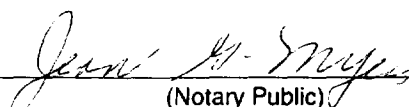
County of Schenectady)

This 11th day of February, 1999, before me personally came the

above-named Lionel Monty Levinson to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

**JEAN G. MYERS**  
Notary Public in the State of New York  
Qualified in Schenectady Co.  
No. 01MY5068850

  
(Notary Public)

My Commission Expires Nov. 11, 2000

**ASSIGNMENT**Docket Number  
RD-25,5722/18/99  
DateAlok Mani Srivastava  
Alok Mani SrivastavaState of New York ) SS:County of Schenectady )

This 18th day of February, 1999, before me personally came  
the above-named Alok Mani Srivastava to me personally known as the  
individual who executed the foregoing assignment, who acknowledged to me that he/she executed the  
same of his/her own free will for the purposes therein set forth.

**JEAN G. MYERS**  
Notary Public in the State of New York  
Qualified in Schenectady Co.  
No. 01MY508850  
My Commission Expires Nov. 11, 2000

Seal

Jean G Myers  
(Notary Public)\_\_\_\_\_  
Date

State of \_\_\_\_\_ ) SS:

County of \_\_\_\_\_ )

This \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, before me personally came  
the above-named \_\_\_\_\_ to me personally known as the  
individual who executed the foregoing assignment, who acknowledged to me that he/she executed the  
same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)\_\_\_\_\_  
Date

State of \_\_\_\_\_ ) SS:

County of \_\_\_\_\_ )

This \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, before me personally came  
the above-named \_\_\_\_\_ to me personally known as the  
individual who executed the foregoing assignment, who acknowledged to me that he/she executed the  
same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)