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J.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney Docket No.: Bergano 22-4

100995479

To The Honorable Commissioner Of Patents And Liquingias.	
1. Name of conveying party(ies): Neal S. Bergano Carl Davidson Additional name(s) of conveying party(ies) attached? [] yes [X] no	2. Name and address of receiving party(ies): Name: Tyco Submarine Systems Ltd. Internal Address: Street Address: 60 Columbia Tumpike City: Morristown State: New Jersey Zip: 07960 Additional names and addresses attached? [] yes [X] no
3. Nature of Conveyance [X] Assignment [] Merger [] Security Agreement [] Change of Name [] Other Execution Date: March 18, 1999	
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application	, the execution date of the application is <u>N/A</u>
A. Patent Application No.(s)	B. Patent No.(s):
09/031,018	N/A
Additional numbers atta	ched? [] Yes [X] No
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Mark Young Internal Address Room 1A-241 Street Address: 250 Industrial Way West City: Eatontown State: NJ ZIP: 07724	6. Total number of applications and patents involved: [1]
7. Total fee (37 C.F.R. 3.41) \$\\\ \begin{align*} \\$ 40.00 \\ \extbf{[]} Enclosed \\ \extbf{[X]} Authorized to be charged to deposit account \end{align*}	Deposit account number: 50-0309 (Attach duplicate copy of this page if paying by deposit account)
DO NOT USE	
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is trudocument. Brian K Dinicola, Esq. Reg. No. 36,122 Name of Person Signing Total number of pages including cover sheet, attaching the state of the stat	March 19, 1999 Date
OMB No. 0651-0011 (exp. 4/84)	
Mail documents to be recorded with required cover sheet information 9/1999 VBROWN 00000047 500309 09031018 Commissioner of Pat Box Assi Washington,	to: ents and Trademarks gnments
	

ASSIGNMENT AND AGREEMENT

For value received, we, Neal S. Bergano, of Lincroft in the County of Monmouth and State of New Jersey, and Carl R. Davidson, of Manalapan in the County of Monmouth and State of New Jersey, hereby sell, assign, and transfer to Tyco Submarine Systems Ltd., a corporation of the State of Delaware, having an office at 340 Mount Kemble Avenue, Morristown, New Jersey 07960, U.S.A., and its successors, assigns, and legal representatives, the entire right, title, and interest in and to certain inventions related to Method and Apparatus for Improving Spectral Efficiency in Wavelength Division Multiplexed Transmission Systems described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign, and transfer to Tyco Submarine Systems Ltd. the entire right, title, and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Tyco Submarine Systems Ltd. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Tyco Submarine Systems Ltd. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Tyco Submarine Systems Ltd. in the United States and in all countries foreign to the United States, or to such nominees as Tyco Submarine Systems Ltd. may designate.

PATENT REEL: 9838 FRAME: 0991 We agree that, when requested, we shall, without charge to Tyco Submarine Systems Ltd. but at its expense, sign all papers, and do all acts which may be necessary, desirable, or convenient in connection with said applications, patents, or other forms of protection.

Neal S. Bergano

Date: Feb 13 1998

United States of America

State of New Jersey)ss.:

County of Monmouth)

On this 13th day of Februare, 1998, before me personally came Neal S. Bergano to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.

Notary Public

MARK K. YOUNG NOTARY PUBLIC OF NEW JERSEY My Commission Expires Dec. 15, 2002

> PATENT REEL: 9838 FRAME: 0992

Date: 2/17/98		Carl R. Davidson
United States of America	,	
	,	
State of New Jersey)ss.:	
County of)	
ы.	,	

On this 17th day of Februar, 19 98, before me personally came Carl R. Davidson to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.

Notary Public

MARK K. YOUNG NOTARY PUBLIC OF NEW JERSEY My Commission Expires Dec. 15, 2002

PATENT
RECORDED: 03/23/1999 REEL: 9838 FRAME: 0993