

03-29-1999

torney Docket P03496US1

COVER SHEET  
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To the Honorable Commissione

100995076

Record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Richard E. Ostlund, JR.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: February 9, 1999

## 2. Name and address of receiving party(ies)

Name: Washington University

Internal Address: Box 8127

Street Address: 660 South Euclid Avenue

City: St. Louis State: MO Zip: 63110

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/250,769

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Edmund J. Sease

Internal Address: \_\_\_\_\_

Street Address: 801 Grand Avenue  
Suite 3200

City: Des Moines State: IA Zip: 50309

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed (If insufficient, charge deposit account #26-0084)☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Edmund J. Sease

Name of Person Signing

Signature

March 15, 1999

Date

Total number of pages including cover sheet, attachments, and document:

4

Mail documents to be recorded with required cover sheet information to:  
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# A S S I G N M E N T

In consideration of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to **Washington University**, a non-profit educational institution corporation under the laws of the State of Missouri, having an address of Box 8127, 660 South Euclid Avenue, St. Louis, Missouri 63110, its successors and assigns the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, Serial No. 09/250,769, filed in the United States Patent and Trademark Office on the 15th day of February, 1999, entitled SITOSTANOL FORMULATION WITH EMULSIFIER TO REDUCE CHOLESTEROL ABSORPTION AND METHOD FOR PREPARING AND USE OF SAME, and in said application and any and all other applications for United States Letters Patent, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent, applications, and in any reissue or extension thereof.

The undersigned hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to said **Washington University**.

For said considerations the undersigned hereby agrees, upon the request, and at the expense of said assignee, its

successors and assigns, to execute any and all divisional continuation and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and for the said considerations the undersigned further agrees upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent of the United States and vest all rights therein hereby conveying in the said assignee, its successors and assigns whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

And for said considerations the undersigned hereby assigns to said assignee, its successors and assigns, the

entire right, title and interest in said invention or improvements for any and all foreign countries and agrees upon the request of assignee, its successors and assigns to execute any and all documents that undersigned shall be requested to execute in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to vest title in said foreign applications and patents in assignee.

The attorneys of record are hereby authorized to insert the serial number in the above blank space when received from the Patent Office.

Richard E. Ostlund, Jr.  
RICHARD E. OSTLUND, JR.

STATE OF MISSOURI     )  
                                  ) ss.  
COUNTY OF ST. LOUIS )

On this 9<sup>th</sup> day of February, 1999, before me, a Notary Public in and for the County and State aforesaid, appeared Richard E. Ostlund, Jr., to me personally known to be the person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act for the uses and purposes therein expressed.

Witness my hand and seal the day and year last above given.

Danielle L. Garrett  
Notary Public

Commission Expires 10/21/01

DANIELLE L. GARRETT  
Notary Public - Notary Seal  
STATE OF MISSOURI  
St. Louis County  
My Commission Expires Oct. 21, 2001