



RECORDATION FORM COVER SHEET

PATENTS ONLY

03-30-1999



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To the Honorable Assistant Commissioner for Patents and Trademarks. Please record the attached document or copy thereof.

1. Name of conveying party(ies):

Richard A. Mathies, Pankaj Singhal, Jin Xie, Alexander N. Glazer

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 02/16/99

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Internal Address: _____

Street Address: 1111 Franklin St., 5th Fl.

City: Oakland, California

Country: U.S. Zip: 94607-5200

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/229,386

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No. (s)

09/229,386

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Aldo J. Test

Internal Address: FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP

Street Address: SUITE 3400
FOUR EMBARCADERO CENTER
City: SAN FRANCISCO
State: CA Zip: 94111-4187

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):\$40.00

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 06-1300

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Maria S. Swiatek

Name of Person Signing

Maria Swiatek
Signature

2-24-99
Date

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Honorable Commissioner of Patents and Trademarks, Box Assignments
Washington, DC 20231

File No. A-67343/AJT (B99-041)
03/10/1999 MTEKLEMI 00000082 09229386

Rev. 8/93 (128460)

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40.00 OP

PATENT
REEL: 9840 FRAME: 0964

ASSIGNMENT

U.C. Case No. B99-041

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Richard A. Mathies 2. Pankaj Singhal 3. Jin Xie 4. Alexander N. Glazer

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, Fifth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

MICROFABRICATED CAPILLARY ELECTROPHORESIS CHIP AND METHOD FOR SIMULTANEOUSLY DETECTING MULTIPLE REDOX LABELS

and which is found in

(a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors

(b) _____ U.S. patent application filed herewith and listing the above named persons as inventors

(c) X U.S. application serial no. 09/229,386, filed on January 11, 1999

(d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

16 day of February, 1999

Richard A. Mathies Richard A. Mathies

16 day of February, 1999

Pankaj Singhal Pankaj Singhal

16 day of February, 1999

Jin Xie Jin Xie

16 day of February, 1999

Alexander N. Glazer Alexander N. Glazer

A-67343/AJT (B99-041)

(125719)

RECORDED: 03/02/1999

PATENT
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