

03-30-1999



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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

 1. Name of conveying party(ies):
Rory G. Lee; Alan J. Bergum

 Additional name(s) of conveying party(ies)
attached? ☐ Yes ☐ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

 Execution Date: 3-19-99

 2. Name and address of receiving party(ies):
Name: S. J. Electro Systems, Inc.

Internal Address:

 Street Address: County Road 6,
Detroit Lakes, MN 56501

City: State: Zip:

 Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

 If this document is being filed with a new application, the execution date of the application is: 3-19-99

A. Patent Application No.(s)

B. Patent No.(s)

09/272438

 Additional numbers attached? ☐ Yes ☒ No

 5. Name and address of party to whom
correspondence concerning document should be
mailed:

 Richard A. Arrett
 Vidas, Arrett & Steinkraus, P.A.
 Suite 2000, 6109 Blue Circle Drive
 Minnetonka, MN 55343-9131

 6. Total number of applications and patents involved: 1

 7. Total fee (37 CFR 3.41): \$40⁰⁰
☒ Enclosed
☐ Authorized to be charged to deposit account

 8. Deposit Account Number: 22-0350
 (Attach duplicate of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard A. Arrett

Signature

3-19-99
 Date

Total number of pages including cover sheet, attachments, and document:

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 Commissioner of Patents and Trademarks
 Box Assignments
 Washington, D.C. 20231

 PATENT
 REEL: 9842 FRAME: 0720

UTILITY/DESIGN PATENT

Docket No. S44.2-7343

ASSIGNMENT

WHEREAS, we Rory G. Lee residing at 315 Park Lake Blvd #205, Detroit Lakes, MN 56501; and Alan J. Bergum residing at 29901 County Road 54, Detroit Lakes, MN 56501 have invented and own the entire United States right, title and interest in an invention for:

MAGNETICALLY ACTUATED FLOAT SWITCH

disclosed in my application for United States Letter Patent filed:

- ☒ concurrently herewith
☐ on _____ and assigned Serial No. _____; and

I hereby authorize and request any attorney of Vidas, Arrett & Steinkraus, P.A., Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9131, to insert the filing date and application number of said application above when known.

WHEREAS, S. J. Electro Systems, Inc. ("Assignee"), a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having its principal place of business at County Road 6, Detroit Lakes, MN 56501, is desirous of acquiring the entire right, title, and interest in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon;

NOW, THEREFORE, Be It Known that for good and valuable consideration, the receipt of all of which is hereby acknowledged, I(we) hereby sell, assign, and transfer unto Assignee, its successors, and assigns, the entire right, title and interest, legal and equitable, in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon; and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent and all Design Letters Patent which may be granted to said invention to Assignee.

Dated: 3/19/1999

First Inventor's Signature:
First Inventor's Name:

Rory G. Lee
Rory G. Lee

Dated: 3/19/1999

Second Inventor's Signature:
Second Inventor's Name:

Alan J. Bergum
Alan J. Bergum

UTILITY/DESIGN PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Rory G. Lee & Alan J. Bergum
Title:	MAGNETICALLY ACTUATED FLOAT SWITCH
Filed:	<input checked="" type="checkbox"/> concurrently herewith <input type="checkbox"/> on _____ and assigned Serial No. _____

Assistant Commissioner for Patents
Washington, DC 20231

Docket No.: S44,2-7343

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (ASSIGNEE FORM)

I, James A. Lockrem, Vice President of Engineering of S. J. Electro Systems, Inc. which has its principal place of business at County Road 6, Detroit Lakes, MN 56501 (hereinafter "the Company"), hereby state that:

1. I am empowered to act on behalf of the Company in making the following statements to establish status as a small entity under 37 C.F.R. §1.9.
2. By assignment of all right, title and interest in and to the invention identified above, the Company is the owner of the subject matter of the patent application identified above.
3. The Company has not assigned, granted, conveyed or licensed any rights in and to the invention, and is not under any obligation, contract or law to assign, grant, convey or license any rights to said invention to any other party.
4. The Company is a business concern which presently employs less than 500 persons.
5. Based upon the above facts, it is believed that the Company is a Small Business for paying reduced fees as set forth in 37 C.F.R. §1.9(d).
6. The Company acknowledges its duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. §1.28(b)).
7. The Company hereby declares that all statements made herein of its own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Dated this 19th day of March, 1999.

(Company Name)

S. J. Electro Systems, Inc.

(Signature)

By:

(Typed Name)

James A. Lockrem

(Title)

Its:

Vice President of Engineering

FWPWORK\RAA\7343-PFP