

03-31-1999



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FORM PTO-1595

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U.S. DEPARTMENT OF COMMERCE

1-31-92

Patent and Trademark Office

To the Assistant Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jennifer Jandak, Michael J. Rosinko, Michael J. Horzewski, Alexander Khairkahan

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

2. Name and address of receiving party(ies):

Name: Eclipse Surgical Technologies, Inc.

Street Address: 1049 Kiel Court

City: Sunnyvale, CA 94089

Additional name(s) & address(es) attached?

☐ Yes ☒ No

Execution Date: 02/16/1999

4. Application number(s) or patent number(s): 09/216,283

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 09/216,283

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul Davis
 Internal Address: FH 1-2
 Wilson Sonsini Goodrich & Rosati
 650 Page Mill Road
 Palo Alto, CA 94304-1050

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41) \$40.00
☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 23-2415
 (Attorney Docket No.: 16684-709)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul Davis
 Name of Person Signing

Paul Davis
 Signature

3/4/99
 Date

Total number of pages including cover sheet, attachments and document: [6]

03/12/1999 CUDRACHA 00000110 232415 09216283

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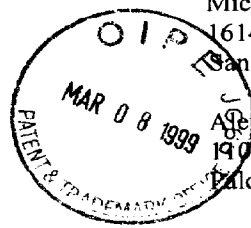
ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:

Jennifer Jandak
216 Ramona Street
Palo Alto, CA 94301

Michael Horzewski
6032 Running Springs
San Jose, CA 95135



Michael J. Rosinko
1614 Husted Avenue
San Jose, CA 95125

Alexander Khairkhahan
1405 Lincoln Avenue
Palo Alto, CA 94301

hereby sell, assign and transfer to

ASSIGNEE: Eclipse Surgical Technologies, Inc.
1049 Kiel Court
Sunnyvale, CA 94089

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

Method for Improving Blood Flow in the Heart

and which is found in (37 C.F.R. § 3.21) U.S. application no. 09/216,283 filed on December 18, 1998 and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date of signing

Date: _____

Signature of Jennifer Jandak

Date: _____

Signature of Michael J. Rosinko

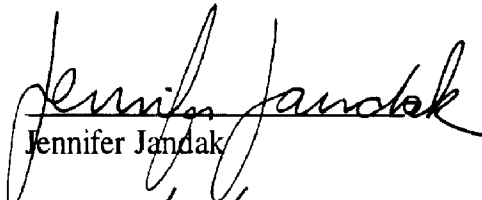
Date: _____

Signature of Michael Horzewski

Date: _____

Signature of Alexander Khairkhahan

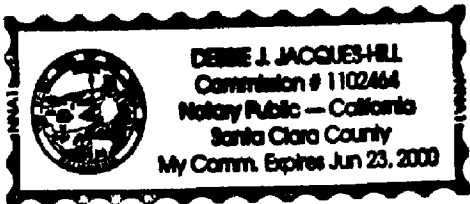
see attached

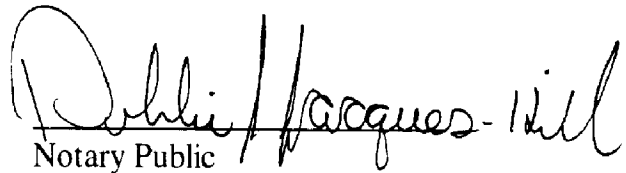

Jennifer Jandak
Date: 2/16/99

STATE OF CALIFORNIA)
) ss.
COUNTY OF Santa Clara)

February
On this 16 day of ____, 1999 before me Debbie J. Jacques-Hill a Notary Public, personally
Jennifer Jandak
appeared _____, personally known to me or proved to me on the basis of satisfactory evidence to be
the person~~s~~ whose name~~s~~ is/~~are~~ subscribed to the within instrument, and acknowledged that ~~he~~/she/~~they~~
executed the same in ~~his~~/her/~~their~~ authorized capacity~~(ies)~~, and that by ~~his~~/her/~~their~~ signature~~(s)~~ on the
instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

Witness my hand and official seal.





Notary Public

Date: 2/16/99

) SS.

February



DEBBIE J. JACQUES-HILL
 Commission # 1102464
 Notary Public — California
 Santa Clara County
 My Comm. Expires Jun 23, 2000

Public / Jacques Hill
Notary Public

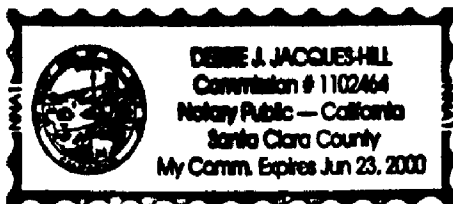
Michael J. Horzewski
Michael J. Horzewski

Date: 2/16/99

STATE OF CALIFORNIA)
) ss.
COUNTY OF Santa Clara)

On this 16 day of, February 1999 before me Debbie J. Jacques-Hill a Notary Public, personally
Michael J. Horzewski
appeared _____, personally known to me or proved to me on the basis of satisfactory evidence to be the
person~~(s)~~ whose name~~(s)~~ is/~~are~~ subscribed to the within instrument, and acknowledged that he/~~she/they~~ executed
the same in his/~~her/their~~ authorized capacity~~(ies)~~, and that by his/~~her/their~~ signature~~(s)~~ on the instrument the
person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

Witness my hand and official seal.



Debbie J. Jacques-Hill
Notary Public

Alexander Khairkhahan

Alexander Khairkhahan

Date: 2/16/1999

STATE OF CALIFORNIA)

) ss.

COUNTY OF Santa Clara)

February

On this 16 day of February, 1999 before me Debbie J. Jacques - Hill a Notary Public, personally

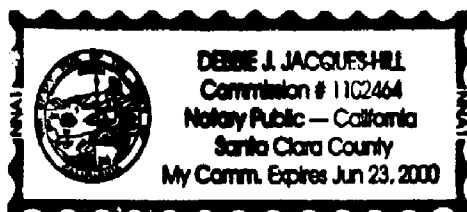
Alexander Khairkhahan
appeared _____, personally known to me or proved to me on the basis of satisfactory evidence to be the

person(~~wh~~) whose name(~~s~~) is/~~is~~ subscribed to the within instrument, and acknowledged that he/~~she~~/~~they~~ executed

the same in his/~~her~~/~~their~~ authorized capacity(~~ies~~), and that by his/~~her~~/~~their~~ signature(~~s~~) on the instrument the

person(~~s~~), or the entity upon behalf of which the person(~~s~~) acted, executed the instrument.

Witness my hand and official seal.



Debbie J. Jacques-Hill
Notary Public

-assign.pat.ver1.0

RECORDED: 03/08/1999

PATENT
REEL: 9846 FRAME: 0557