

03-31-1999

PTO-1595
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U.S. DEPARTMENT OF COMMERCE

Docket No. A60-16976 US



MAR 08 1999

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Tab settings ⇄ ⇄ ⇄

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Jesse A. Vaught, Karl A. Fetting, Henry H. Hung, John H. Shannon

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 2/18/99 and 3/1/99

2. Name and address of receiving party(ies):

Name: Honeywell Inc.

Internal Address: Honeywell Plaza,

MN12-8251

Street Address: P.O. Box 524

City: Minneapolis State: MN ZIP: 55440

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
Serial No. 09/223,088

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JOHN G. SHUDY, JR.

Internal Address: Honeywell Inc.

Honeywell Plaza,

MN12-8251

Street Address: P.O. Box 524

City: Minneapolis State: MN ZIP: 55440

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

08-2727

03/11/1999 HYKLEH 00000202 082727 09223088

02 FC:581

(40.00 CH)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

JOHN G. SHUDY, JR.

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet:

1

PATENT
REEL: 9847 FRAME: 0251

ASSIGNMENT

WHEREAS, We, JESSE A. VAUGHT of PHOENIX,
County of MARICOPA, and State of ARIZONA
KARL A. FETTING of GLENDALE,
County of MARICOPA, and State of ARIZONA
HENRY H. HUNG of PARADISE VALLEY,
County of MARICOPA, and State of ARIZONA
JOHN H. SHANNON of SCOTTSDALE,
County of MARICOPA, and State of ARIZONA

have invented certain new and useful improvements in

SUBMOUNTLESS INTEGRATED OPTICS CHIP PACKAGE

for which an application was made for Letters Patent of the United States on December 29, 1998 and given Serial No. 09/223,088, and

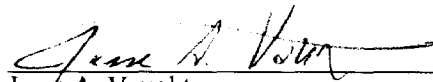
Whereas, Honeywell Inc., a corporation organized and existing under the laws of the State of Delaware, and having its principal offices at Honeywell Plaza, Minneapolis, Minnesota 55408 U.S.A. is desirous of acquiring the entire right, title and interest in and to said invention, said application and in, to and under any and all Letters Patent to be obtained therefor;

Now, therefore, for good and valuable consideration to us paid by the said Honeywell Inc., the receipt of which is hereby acknowledged, we have sold, assigned and transferred, and by these presents do hereby sell, assign and transfer unto the said Honeywell Inc., its successors and assigns, our entire right, title and interest in and to said invention, said application and the Letters Patent, both foreign and domestic, that may or shall issue, including all of our rights under any and all international conventions, treaties and/or agreements concerning patents to which the United States is a party, and we do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to the above mentioned assignee in accordance herewith.


We further authorize said assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of said United States application and/or otherwise take advantage of the provisions of any international convention, treaty and/or agreement.

We do hereby covenant and agree with the said assignee, its successors and assigns, that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we or our executors or administrators will at any time upon request, without further or additional consideration, but at the expense of the said assignee, its successors and assigns, execute such additional writings and do such additional acts as said assignee, its successors and assigns, may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, or any of and all foreign countries on said invention, and in enforcing any rights, occurring as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents.

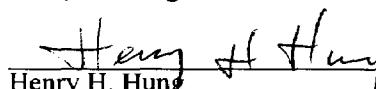
Date 3-1, 1999


Jesse A. Vaught

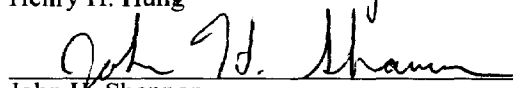
Date 2-18, 1999


Karl A. Fetting

Date 2-18, 1999

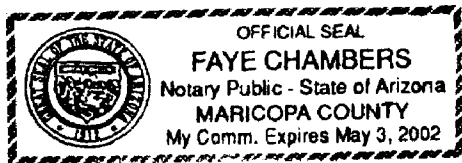

Henry H. Hung

Date 2-18, 1999


John H. Shannon

State of ARIZONA)
)ss.
County of MARICOPA)

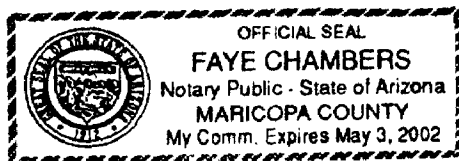
On this 1st day of MARCH, 1999, before me personally appeared Jesse A. Vaught, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.



Faye Chambers
Notary Public, County MARICOPA
State of ARIZONA
My Commission Expires MAY 3, 2002

State of ARIZONA)
)ss.
County of MARICOPA)

On this 18th day of FEBRUARY, 1999, before me personally appeared Karl A. Fetting, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.



Faye Chambers
Notary Public, County MARICOPA
State of ARIZONA
My Commission Expires MAY 3, 2002

State of ARIZONA)
)ss.
County of MARICOPA)

On this 18th day of FEBRUARY, 1999, before me personally appeared Henry H. Hung, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.



Faye Chambers
Notary Public, County MARICOPA
State of ARIZONA
My Commission Expires MAY 3, 2002

State of ARIZONA)
)ss.
County of MARICOPA)

On this 18th day of FEBRUARY, 1999, before me personally appeared John H. Shannon, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.



Faye Chambers
Notary Public, County MARICOPA
State of ARIZONA
My Commission Expires MAY 3, 2002