

03-31-1999

BOX ASSIGNMENTS

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TO THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS:
SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNOR(S)):

1. The Victoria University of Manchester

3.

5.

7.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: NeuTec Pharma plc

ADDRESS: St. James's Court, Brown Street, Manchester, M2 2JF, United Kingdom

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST

EXEC. DATE: November 25, 1997

☐ CHANGE OF NAME☐ ACCURATE TRANSLATION☐ SECURITY☐ MERGER ☐ OTHER:

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!)

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NOA. PAT. APP. NO.(S)
series code/serial
no

M#

1st INVENTOR
if not in item 1

B. PATENT NO(S)

M#

1st INVENTOR
if not in item 1

08/878,844

238399

5. Name & Address of Party to Whom Correspondence
Concerning Document Should be Mailed:

Pillsbury Madison & Sutro LLP
Intellectual Property Group
1100 NEW YORK AVENUE, N.W.
NINTH FLOOR, EAST TOWER
WASHINGTON, D.C. 20005-3918

6. NUMBER INVOLVED:

APPLNS 1 + PATS _____ = TOTAL 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)
ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

PMS 238399

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8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE
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UNDER ORDER NO

50885

238399

MATTER NO.

CLIENT REF.

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CLIENT NO.

MATTER NO.

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

10. Total number of pages including this
cover sheet, attachments and document
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3

03/30/1999 TSHABAZZ 00000171 0887844

01 01501 No. 36830

40.00 UP

Date: March 26, 1999

Atty/Sec: ASH/maf

TEL: (202) 861-3063

FAX: (202) 822-0944

FILE WITH PTO RETURN RECEIPT (PAT-103A)

PAT-114X 1/99

PATENT
REEL: 9847 FRAME: 0895

Please return signed/recorded to:
Cushman Darby & Cushman
Intellectual Property Group of
Pillsbury Madison & Sutro LLP
Ninth Floor, East Tower
1100 New York Avenue, N.W.
Washington, D.C. 20005-3918

CDC/M# 50885/213888
Client Ref.

ASSIGNMENT

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to

THE VICTORIA UNIVERSITY OF MANCHESTER, located at Oxford Road, Manchester,
M13 9PL, United Kingdom, (hereinafter designated ASSIGNOR);

the receipt and sufficiency of which are hereby acknowledged by the undersigned, who hereby acknowledges the sale, assignment and transfer unto

NeuTec Pharma plc, a British Company, having a place of business at
St. James's Court, Brown Street, Manchester, M2 2JF
United Kingdom

(hereinafter designated ASSIGNEE);

the entire right title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof, and all resulting patents, known as

CORYNEBACTERIAL STRESS PROTEINS

for which an application for Letters Patent was filed on April 10, 1995, U.S. Application No. 08/409,901.

AND the undersigned hereby authorizes and requests the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, its successors, assigns and legal representatives; the undersigned agrees that the attorney of record shall hereinafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agrees to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

The undersigned hereby authorizes Cushman Darby & Cushman, Intellectual Property Group of Pillsbury Madison & Sutro, LLP, Ninth Floor, East Tower, 1100 New York Avenue, N.W.,

Washington, D.C., 20005, USA to insert hereon any further identification necessary or desirable for recordation of this document.

H Jenkins
Signature

25 Nov 1997
Date

Printed Name

Title

DR HELEN JENKINS
HEAD OF RESEARCH &
GRADUATE SUPPORT
THE VICTORIA UNIVERSITY OF MANCHESTER

For and on behalf of
THE VICTORIA UNIVERSITY OF MANCHESTER

In the presence of:

Witness E. J. [Signature]