

04-02-1999

Docket No.: EH-10150A

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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P08/REV02



101000654

VER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy them

1. Name of conveying party(ies):

Raymond P. Ristau, Sudhangshu Bose and Alan D. Cetel

3-23-99

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: March 16, 1999

2. Name and address of receiving party(ies):

Name: United Technologies Corporation

Internal Address: _____

Street Address: United Technologies Building

City: Hartford State: CT ZIP: 0610

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: March 16, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles E. Sohl

Internal Address: Pratt & Whitney, Patent Department

Street Address: 400 Main Street

Mail Stop 132-13

City: East Hartford State: CT ZIP: 06108

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

21-0279 (Docket No.: EH-10150A)

03/31/1999 JSHABAZZ 00000262 210279 09274127

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles E. Sohl

Charles E. Sohl

March 23, 1999

Name of Person Signing

Signature

5

Date

Total number of pages including cover sheet, attachments, and document:

PATENT
REEL: 9850 FRAME: 0410

ASSIGNMENT

Serial No. _____

Filing Date _____

WHEREAS, We Raymond P. Ristau, Sudhangshu Bose and Alan D. Cetel have invented certain improvements in SURFACE PREPARATION PROCESS FOR DEPOSITION OF CERAMIC COATING identified as Attorney Docket No. EH-10150A and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(L.S.) Raymond P. Ristau March 16, 1999
Raymond P. Ristau
(L.S.) Sudhangshu Bose March 16, 1999
Sudhangshu Bose
(L.S.) Alan D. Cetel March 16, 1999
Alan D. Cetel

State of Connecticut)
County of HARTFORD) SS:

On this 16th day of MARCH, 1999, before me personally appeared Raymond P. Ristau to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.

C Cheryl Swanson
Notary Public, State of Connecticut

{Seal}

MY COMMISSION EXPIRES: CHERYL SWANSON
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2003

State of Connecticut)
County of HARTFORD) SS:

On this 16th day of MARCH, 1999, before me personally appeared Sudhangshu Bose to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.

C Cheryl Swanson
Notary Public, State of Connecticut

{Seal}

MY COMMISSION EXPIRES: _____
CHERYL SWANSON
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2003

State of Connecticut)

County of HARTFORD)

SS:

On this 16th day of MARCH, 1999, before me personally appeared Alan D. Cetel to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.

Cheryl Swanson

Notary Public, State of Connecticut

{Seal}

MY COMMISSION EXPIRES: _____

CHERYL SWANSON
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2003

State of _____)

County of _____)

SS:

On this _____ day of _____, 1999, before me personally appeared _____ to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.

Notary Public, State of Connecticut

{Seal}

MY COMMISSION EXPIRES: _____