

04-05-1999



/ER SHEET

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To the Honorable Commission, Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

JOHN ROBERT REASON
JOAO EDUARDO NAVARRO DE ANDRADE

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: **23 MARCH 99**

2. Name and address of receiving party(ies):

Name: **CARRIER CORPORATION**

Internal Address: **CARRIER WORLD HEADQUARTERS**
BUILDING

Street Address: **ONE CARRIER PLACE**City: **FARMINGTON** State: **CT** ZIP: **06034**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **3/23/99**

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **ARTHUR A. GASEY**Internal Address: **NIRO, SCAVONE, HALLER & NIRO**

04/05/1999 DNGUYEN 00000062 141131 09277507

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(40.00 CH)

Street Address: **181 W. Madison St. Suite 4600**City: **Chicago** State: **IL** ZIP: **60602**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

14-1131

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

PATRICIA M. PIERSON

Name of Person Signing

Signature

MARCH 26, 1999

Date

Total number of pages including cover sheet, attachments, and document:

PATENT

REEL: 9856 FRAME: 0362

3.26.99

JC542 U.S. PTO
09/277507
03/26/99

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, We, being the inventors of a certain invention as fully set forth and described in a patent application entitled VOLTAGE CONTROL USING ENGINE SPEED (Carrier Docket No. 9476)

executed by: John Robert Reason
residing at: 4356 Loveland Drive
Liverpool, NY 13090

and by: João Eduardo Navarro de Andrade
residing at: 6112 Cobblestone Drive #I-11
Cicero, NY 13039

on this 23rd day of March, 1999, being the owners of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware corporation, with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, and all divisions, reissues, continuations, and extensions thereof. We hereby authorize and request the Commissioner of Patents to issue the said Letters Patent to said CARRIER CORPORATION, as Assignee.

AND we hereby covenant and agree that we shall at any time, upon request, without further compensation, testify in any interference proceedings, execute all divisional, continuing or reissue applications, make all rightful oaths, and generally execute and deliver any

and all papers or instruments that may be necessary or desirable to secure to said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, we have hereunto set our hand and seal, this 23rd
day of March, 1999.

John Robert Reason (L.S.)
John Robert Reason

João Eduardo Navarro de Andrade (L.S.)
João Eduardo Navarro de Andrade

STATE OF New York)
COUNTY OF Onondaga) SS:

On this 23rd day of March, 1999, before me the subscribers, personally came, John Robert Reason, and João Eduardo Navarro de Andrade, to me known, and known to me to be the persons described in and who executed the foregoing instrument, and duly acknowledged to me that they executed the same as their free act and deed.

Luann McCormick
Notary Public

LUANN MCCORMICK
Notary Public, State of New York
Qualified in Onondaga Co. No. 4886345
My Commission Expires March 2, 2001

(seal)