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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Graham S. Poindexter, Ildiko Antal,  
Leah M. Giupponi, Robert H. Stoffel,  
Kevin Gillman, Mendi Higgins

Additional name(s) of conveying party(ies) attached?

☐

Yes

☒

No

## 3. Nature of conveyance:

☒

Assignment

☐

Other \_\_\_\_\_

Execution Date: 2/19/99, 2/24/99,  
3/4/99, 3/5/99, 3/8/99

## 2. Names and address of receiving party(ies):

**BRISTOL-MYERS SQUIBB COMPANY**  
**Lawrenceville-Princeton Road**  
**Princeton, NJ 08543-4000**

Additional name(s) and address(es) attached?

☐

Yes

☒

No

## 4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

09/261,670 filed 3/3/99

## B. Patent No.(s)

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard P. Ryan  
Address: Bristol-Myers Squibb Co.  
Patent Department - 851  
5 Research Parkway, PO Box 5100  
Wallingford CT 06492-7660  
City State Zip6. Total number of applications and patents involved: 7. Total fee(37 CFR 3.41) involved: \$40.00 E☒

Authorized to be charged to deposit account

8. Deposit account number: 02.3850

(Attached duplicate copy of this page if paying by deposit account)

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01 FC:581 40.00 CH

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and the attached is a true copy of the original document.*Richard P. Ryan

Name of Person Signing

Richard P. Ryan

Signature

22 Mar 99

Date

Total number of pages including cover sheet, attachments and document: 7

**PATENT**  
**REEL: 9856 FRAME: 0540**

**ASSIGNMENT**

For good and valuable consideration, receipt of which is hereby acknowledged and intending to become legally bound thereby, we

<u>Graham S. Poindexter</u>	of	<u>15 Fox Hollow Road Old Saybrook, CT 06475</u>
<u>Ildiko Antal</u>	of	<u>95 Quarry Village Road Cheshire, CT 06410</u>
<u>Leah M. Giupponi</u>	of	<u>149 Countryside Drive Basking Ridge, NJ 07920</u>
<u>Robert H. Stoffel</u>	of	<u>51 Myra Road Hamden, CT 06517</u>
<u>Kevin Gillman</u>	of	<u>80 Bradley Corners Road Madison, CT 06443</u>
<u>Mendi Higgins</u>	of	<u>8105 Town Ridge Middletown, CT 06457</u>

do hereby sell, assign and set over unto BRISTOL-MYERS SQUIBB COMPANY, a corporation organized and existing under the laws of the State of Delaware, having a place of business at Lawrenceville-Princeton Road, Princeton, New Jersey 08543-4000 (hereinafter called "BRISTOL-MYERS SQUIBB"), the entire right, title and interest in the United States and in all foreign countries of the world in and to any and all of our inventions and discoveries in as described and/or claimed in our application for Letters Patent of the United States of America executed 2/19/99, 2/24/99, 3/1/99, 2/24/99, 2/19/99, and 2/19/99 by us, respectively, identified as Serial Number 09/261,670, filed on 3/3/99 which claims priority from provisional application USSN 60/079,359 filed March 25, 1998, in and to the above identified patent application, in and to the right to file patent applications in the name of BRISTOL-MYERS SQUIBB or in our names, at its election, on the aforesaid invention and discovery in the United States and in all foreign countries of the world, together with all rights of priority in the aforesaid foreign countries deriving from the above-identified United States patent application under the International Convention for the Protection of Industrial Property, under the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models, and under any other international arrangement to which the United States of America now is or hereafter becomes a signatory, in and to any and all Letters Patent that issue on any of the aforesaid patent applications, and in and to any reissues, renewals, and extensions thereof of any of said Letters Patent, the same to be held and enjoyed by said BRISTOL-MYERS SQUIBB, its successors, assigns, or other legal representatives to the full ends of the terms for

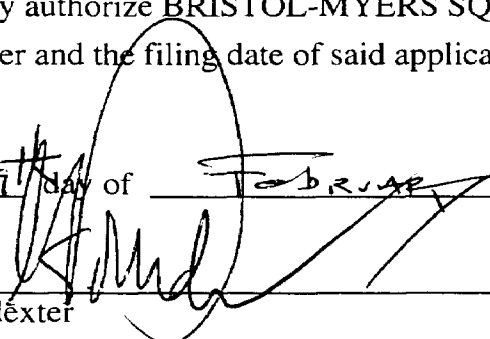
which all LETTERS Patent therefor may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

And, we hereby Covenant and Agree that we will, at any time, upon the request and at the expense of BRISTOL-MYERS SQUIBB, execute and deliver any and all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, and Letters Patent and reissues, renewals, and extensions thereof in BRISTOL-MYERS SQUIBB, its successors, assigns, or other legal representatives, including the execution and procurement of any and all further documents evidencing this assignment and sale as may be necessary or desirable for recording the same in the Patent Office of any country concerned, and that we will, at any time, upon the request and at the expense of BRISTOL-MYERS SQUIBB, execute any additional or divisional applications for patents for said inventions and discoveries, or any part or parts thereof, and for applications for patents of confirmation, registration, and importation based on said Letters Patent and on Letters Patent issuing from said additional or divisional applications, reissues, renewals, and extensions of any Letters Patent to be granted therefor, and will make all rightful oaths and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of BRISTOL-MYERS SQUIBB, its successors, assigns, or other legal representatives.

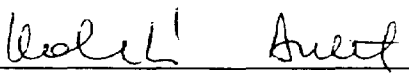
This document has been prepared in the English language at the request of the assignor.

We hereby authorize BRISTOL-MYERS SQUIBB to insert in this instrument the serial or application number and the filing date of said application.

Executed this 19<sup>th</sup> day of February, 1999.

  
\_\_\_\_\_  
Graham S. Poindexter L.S.

Executed this 24<sup>th</sup> day of February, 1999.

  
\_\_\_\_\_  
Ildiko Antal L.S.

Executed this 4 day of March, 1999.

Leah M. Giupponi L.S.  
Leah M. Giupponi

Executed this 2 day of March, 1999.

Robert H. Stoffel L.S.  
Robert H. Stoffel

Executed this 8<sup>th</sup> day of March, 1999.

Kevin W. Gillman L.S.  
Kevin Gillman

Executed this 5 day of March, 1999.

Mendi Higgins L.S.  
Mendi Higgins

**Acknowledgement**

State of Connecticut )  
County of New Haven )

ss: Wallingford

On this 19 day of February, 1999,  
before me Janet C. Sudol the undersigned, personally appeared  
Graham S. Poindexter to me known, and known by me to be the same person described in and  
who executed the foregoing instrument, and acknowledged that he executed the same, of his own  
free will for the purpose set forth.

Janet C. Sudol  
Notary Public

JANET C. SUDOL  
NOTARY PUBLIC  
MY COMMISSION EXPIRES AUGUST 31, 2002

**Acknowledgement**

State of Connecticut )  
County of New Haven )

ss: Wallingford

On this 24 day of February, 1999,  
before me Janet C. Sudol the undersigned, personally appeared  
Ildiko Antal to me known, and known by me to be the same person described in and who  
executed the foregoing instrument, and acknowledged that he executed the same, of his own free  
will for the purpose set forth.

Janet C. Sudol  
Notary Public

JANET C. SUDOL  
NOTARY PUBLIC  
MY COMMISSION EXPIRES AUGUST 31, 2002



**Acknowledgement**

State of New Jersey )  
 County of Mercur )

ss:

On this 4<sup>th</sup> day of March, 1999,  
 before me DIANE C. BARTRAM the undersigned, personally appeared  
Leah M. Giupponi to me known, and known by me to be the same person described in and who  
 executed the foregoing instrument, and acknowledged that he executed the same, of his own free  
 will for the purpose set forth.

Diane C. Bartram  
 Notary Public

Diane C. Bartram  
 Notary Public of New Jersey  
 My Commission Expires March 9, 2000

**Acknowledgement**

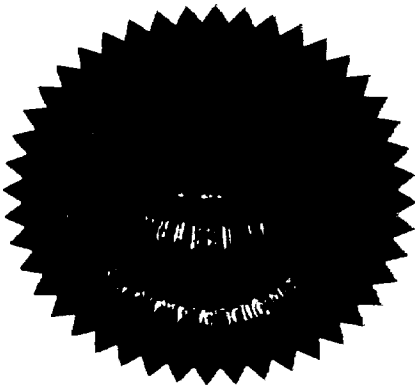
State of Connecticut )  
 County of New Haven )

ss: Wallingford

On this 8 day of March, 1999,  
 before me Janet C. Sudol the undersigned, personally appeared  
Robert H. Stoffel to me known, and known by me to be the same person described in and who  
 executed the foregoing instrument, and acknowledged that he executed the same, of his own free  
 will for the purpose set forth.

Janet C. Sudol  
 Notary Public

JANET C. SUDOL  
 NOTARY PUBLIC  
 MY COMMISSION EXPIRES AUGUST 31, 2002



**Acknowledgement**

State of Connecticut )  
 )  
 County of New Haven )

ss: Wallingford

On this 8 day of March, 1999,  
 before me Janet C Sudol the undersigned, personally appeared  
Kevin Gillman to me known, and known by me to be the same person described in and who  
 executed the foregoing instrument, and acknowledged that he executed the same, of his own free  
 will for the purpose set forth.

Janet C Sudol  
 Notary Public

JANET C. SUDOL  
 NOTARY PUBLIC  
 MY COMMISSION EXPIRES AUGUST 31, 2002

**Acknowledgement**

State of Connecticut )  
 )  
 County of New Haven )

ss: Wallingford

On this 5 day of March, 1999,  
 before me Janet C Sudol the undersigned, personally appeared  
Mendi Higgins to me known, and known by me to be the same person described in and who  
 executed the foregoing instrument, and acknowledged that he executed the same, of his own free  
 will for the purpose set forth.

Janet C Sudol  
 Notary Public

JANET C. SUDOL  
 NOTARY PUBLIC  
 MY COMMISSION EXPIRES AUGUST 31, 2002