

04-06-1999

Atty Docket No.: 0068-0311-2/jer

FORM PTO-1595
1-31-92U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101002691

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Naohiko KATO, Hiroshi ITO, Tadashi ICHIKAWA
Tomoyoshi MOTOHIRO and Tatsumi HIOKI

2. Name and address of receiving party(ies):

Name: KABUSHIKI KAISHA TOYOTA CHUO
KENKYUSHOAddress: 41-1, Aza Yokomichi, Oaza Nagakute,
Nagakute-cho, Aichi-gun,
Aichi-ken, 480-11, JAPANAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: June 23, 1997

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
08/884,661

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

OBLON, SPIVAK, McCLELLAND, MAIER &
NEUSTADT, P.C.
Attorneys at Law
Fourth Floor
1755 Jefferson Davis Highway
Arlington, Virginia 22202

6. Total applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 15-0030
(Attach duplicate copy of this page if paying by deposit account)

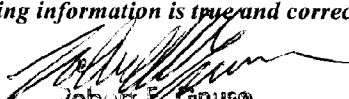
DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Marvin J. Spivak

Name of Person Signing


 Robert F. Gruse
 Registration Number 27,295

Date

Registration Number: 24,913

Total number of pages including this cover sheet: 3

04/05/1999 JSHADAZZ 00000210 08884661

01 FC:381

40.00-00

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

 Commissioner of Patents and Trademarks
 Box Assignments
 Washington, D.C. 20231

 PATENT
 REEL: 9860 FRAME: 0085

Assignment Of Application

Page 1 of 2

WHEREAS, I (WE) Naohiko Kato; Hiroshi Ito; Tadashi Ichikawa;
Tomoyoshi Motohiro and Tatsumi Hioki of:

INSERT NAMES
AND RESIDENCE
ADDRESSES OF
THE INVENTORS:

- 1) Sky Heights Nisshin 405, 2-101, Iwasaki-dai, Nisshin-shi, Aichi-ken, 470-01, Japan
- 2) 7-8-9, Oshizawadai, Kasugai-shi, Aichi-ken, 487, Japan
- 3) 4-16-7, Gokiso, Showa-ku, Nagoya-shi, Aichi-ken, 466, Japan
- 4) 98-2, Mitsuke-cho, Seto-shi, Aichi-ken, 489, Japan
- 5) Rapport New Ikeshita 504, 1-4-24, Haruoka, Chikusa-ku, Nagoya-shi,
Aichi-ken, 464, Japan

_____, respectively,

INSERT TITLE
OF INVENTION:

have invented certain new and useful improvements in: _____
STABILIZING DEVICE FOR OPTICAL MODULATOR

INSERT DATE IN-
VENTORS SIGNED
DECLARATION:

for which an application for Letters Patent was executed on June 23, 1997
(Application No. 08/884,661, filed June 27, 1997), and

INSERT NAME
AND ADDRESS OF
COMPANY OR
OTHER ASSIGNEE:

WHEREAS, KABUSHIKI KAISHA TOYOTA CHUO KENKYUSHO
(hereinafter referred to as "ASSIGNEE") having a place of business at: 41-1, Aza Yokomichi,
Oaza Nagakute, Nagakute-cho, Aichi-gun, Aichi-ken, 480-11, Japan
is desirous of acquiring the entire right, title and interest in and to said invention and in and to any
Letters Patent that may be granted therefore in the United States and its territorial possessions and in
any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Oblon, Spivak, McClelland, Maier & Neustadt, P.C. of Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: June 23, 1997

Naohiko Kato
(Signature of Inventor) Naohiko Kato

Date: June 23, 1997

Hiroshi Ito
(Signature of Inventor) Hiroshi Ito

Date: June 23, 1997

Tadashi Ichikawa
(Signature of Inventor) Tadashi Ichikawa

Date: June 23, 1997

Tomoyoshi Motohiro
(Signature of Inventor) Tomoyoshi Motohiro

Date: June 23, 1997

Tatsumi Hioki
(Signature of Inventor) Tatsumi Hioki

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.
ATTORNEYS AT LAW
FOURTH FLOOR
1755 JEFFERSON DAVIS HIGHWAY
ARLINGTON, VIRGINIA 22202